NATIONAL Sciences Engineering Medicine

National Science, Technology, and Security Roundtable Meeting 11

November 28-29, 2023

National Academy of Sciences Lecture Room 2101 Constitution Ave NW Washington, DC

DAY 1 AGENDA - TUESDAY, NOVEMBER 28

OPEN SESSION

National Academy of Sciences Lecture Room (near the East Court) 2101 Consititution Ave NW Washington, DC 20418

| 8:30 am ET | Breakfast Available |
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| 9:00 am | Welcome and introductory comments by new Roundtable members |
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Roundtable Co-Chairs: **Dr. John C. Gannon***, National Intelligence Council (formerly)

Dr. Richard A. Meserve*, President Emeritus, Carnegie Institution for

Science

Dr. Maria T. Zuber*, E. A. Griswold Professor of Geophysics and Vice President

for Research, Massachusetts Institute of Technology

| 9:30 am |
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Moderator: **Dr. John C. Gannon***, National Intelligence Council (formerly)

Speaker: Ms. Priscilla Yeon-Vogelheim, Supervisory Intelligence Analyst, FBI

Framing questions:

- How does the FBI approach engagement with universities on research security?
 How has this approach evolved over the last few years?
- What are best practices for FBI and universities working together on research security?

| 11:00 am |
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| 11:15 am | Preserving Academic Integrity: Due Process in University Settings |
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Moderator: **Dr. Richard A. Meserve***, President Emeritus, Carnegie Institution for Science

Speaker: **Ms. Cindy Tsai**, Interim President, Executive Director, General Counsel, Committee of 100

Framing questions:

- What are key differences between due process in addressing allegations by academic researchers in university settings versus criminal allegations by the government?
- What are the principles of due process that universities should consider when developing policies for administrative actions?
- How can university employment policies be adapted for research security allegations?

| 12:15 pm | Lunch |
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| 12:45 pm |
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Moderator: **Dr. Thomas Mason*,** Director, Los Alamos National Laboratory

Speaker: Mr. Joshua W. Massey, Director of Enterprise Risk, Global Security and Risk

Management, MITRE

Framing questions:

- How does MITRE experience the threats of research security in your labs and in your management of FFRDCs?
- How do you manage these threats and balance your approach with the benefits of open science?
- How do you interact with intelligence and law enforcement?
- What is your approach to data management and the cybersecurity threat?
- How does MITRE approach NSDD-189 and the distinction between fundamental and restricted research?

| 1:45 pm | Understanding the Chinese Communist Party's Approach to S&T |
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| Speaker: | Dr. Thomas Fingar* , Shorenstein APARC Distinguished Fellow, Freeman Spogli Institute for International Studies, Stanford University |
| 2:45 pm | Break |
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| 3:00 pm | NIST's Safeguarding International Science: Research Security Framework |
| Moderator: | Dr. Chaouki T. Abdallah* , Executive Vice President for Research, Georgia Institute of Technology |
| Speakers: | Mr. Gregory F. Strouse, Associate Director for Measurement Services of the Physical Measurement Laboratory, NIST Dr. Claire M. Saundry, Director of International and Academic Affairs, NIST |

Framing questions:

- How was the Framework developed? What stakeholders were involved?
- How does the Framework address the balance between security and openness?
- How does the Framework encompass a risk-based approach?

Mr. Timothy Wood, Export Control Officer, NIST Mr. Philip Bennett, Senior Security Specialist, NIST

- What best practices does the Framework suggest for the US science and research community in research security?
- How do you ensure adoption of the Framework by the R&D community?

| 4:00 pm | Adjourn Day 1 |
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DAY 2 AGENDA – WEDNESDAY, NOVEMBER 29

National Academy of Sciences Lecture Room (near the East Court) 2101 Consititution Ave NW Washington, DC 20418

| OPEN SESSION | | OPEN SESSION | |
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| 10:45 am | Welcome to Day 2 |
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Roundtable Co-Chairs: Dr. John C. Gannon*, National Intelligence Council (formerly)

Dr. Richard A. Meserve*, President Emeritus, Carnegie Institution for

Science

Dr. Maria T. Zuber*, E. A. Griswold Professor of Geophysics and Vice President

for Research, Massachusetts Institute of Technology

| 10:50 am Creating a Culture of Research Security in the Age of Open Science | |
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Moderator: Dr. Maria T. Zuber*, E. A. Griswold Professor of Geophysics and Vice President for

Research, Massachusetts Institute of Technology

Speaker: **Dr. Kelvin Droegemeier**, Professor of Atmospheric Sciences and Special Advisor to the

Chancellor for Science and Policy, University of Illinois at Urbana-Champaign

Framing questions:

- How do we get beyond compliance towards having principles of secure research built into our S&T enterprise?
- What is the impact of more restrictive interpretations of NSDD-189 on the promotion of open science in our universities today, and what is the outlook for the future?
- What are effective approaches the government and universities can use to communicating threats and risks?
- Are universities doing enough to orient foreign students and schools to U.S. research integrity practices when they arrive to the U.S.?

| 12:15 pm | Adjourn Open Session |
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* Member of National Science, Technology, and Security Roundtable