

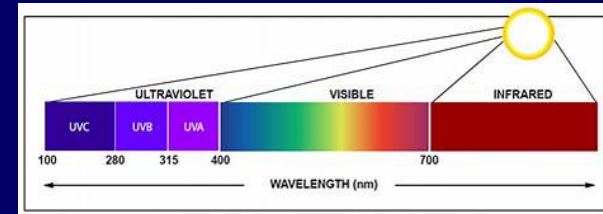
# SARS-CoV-2: Dynamics of Airborne Transmission and Air Disinfection

Edward Nardell, MD  
Professor of Medicine,  
Harvard Medical School



Division of Global Health Equity  
Brigham & Women's Hospital  
Partners In Health, Boston, MA

Harvard School of Public Health  
Department of Environmental Health  
Department of Immunology and Infectious Diseases



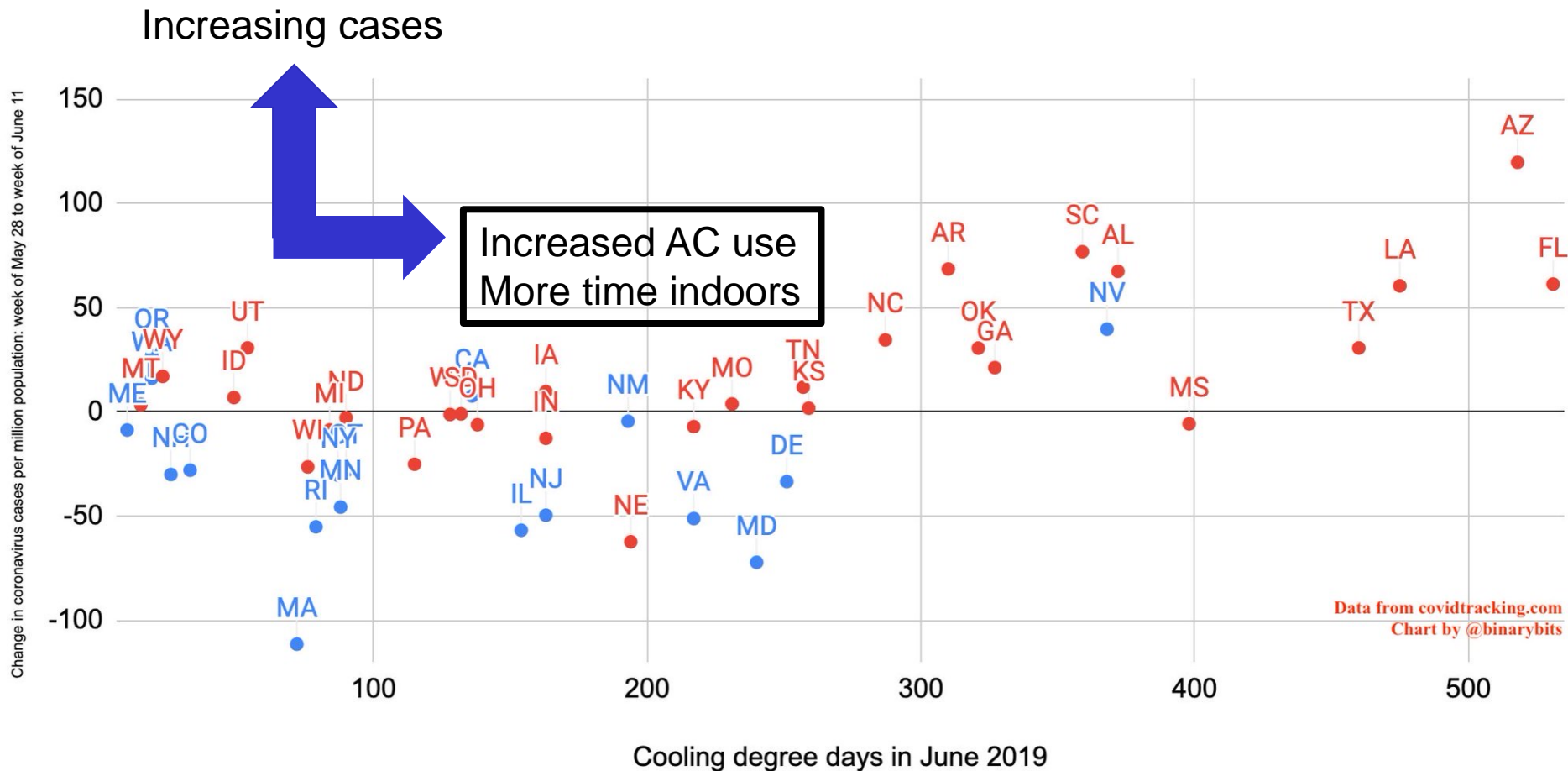
# Is SARS-Cov-2 airborne?

- Circumstantial evidence:
  - if large respiratory droplets contain virus – small ones must also.
    - Virus detected by air sampling using pcr and culture methods
  - Other similar coronaviruses, including SARS and MERS have had airborne components
  - Examples of spread likely to be airborne: Washington state choir, Hong Kong apartment building, Wuhan restaurant, etc
  - Impact of interventions – face coverings, etc – favor airborne predominance in one recent paper.
  - *Impact of indoor environment on transmission*
  - *Modeling routes of infection*

# Change in covid-19 cases/million as a function of cooling degree days

(May 28-June 11, 2020)

## The hottest states are suffering the worst outbreaks

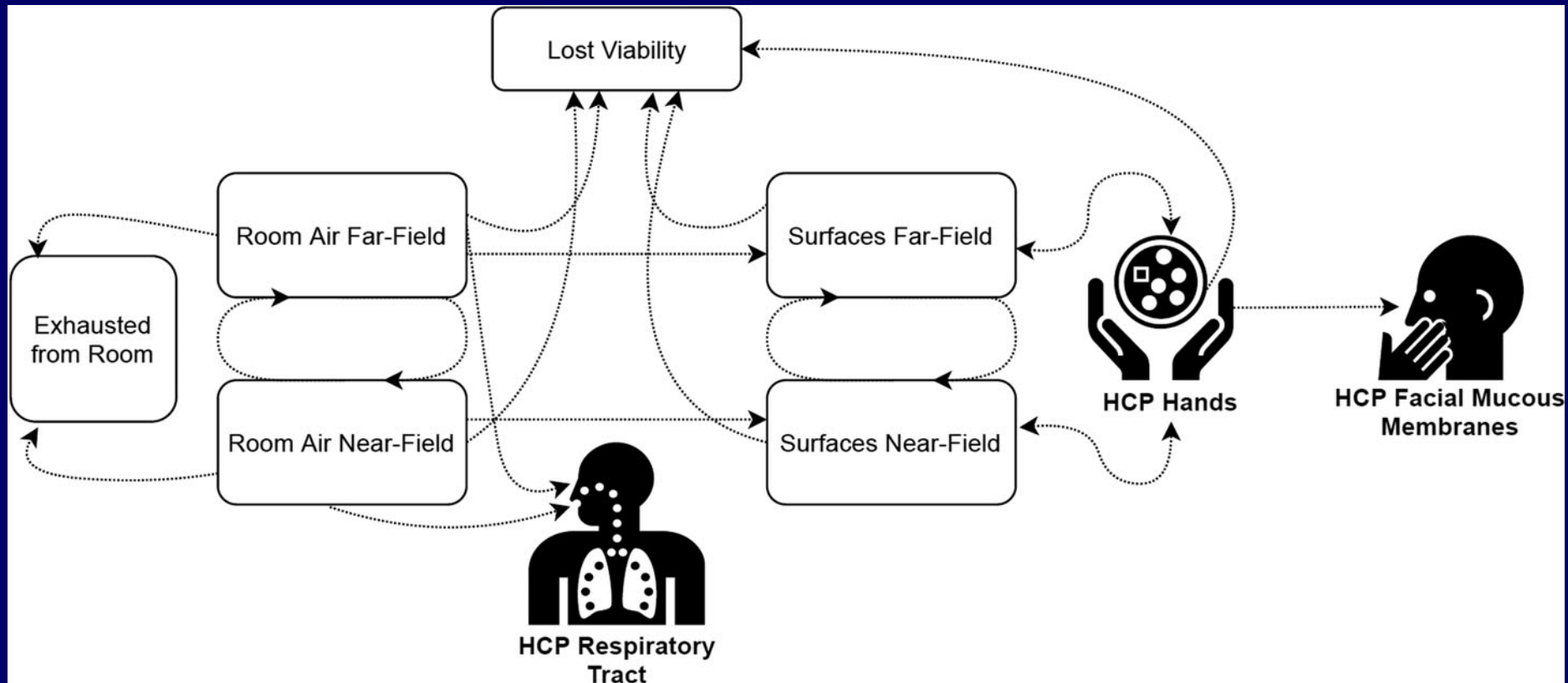


# Relative contributions of transmission routes for COVID-19 among healthcare personnel providing patient care

Rachael M. Jones (2020):

Journal of Occupational and Environmental Hygiene,

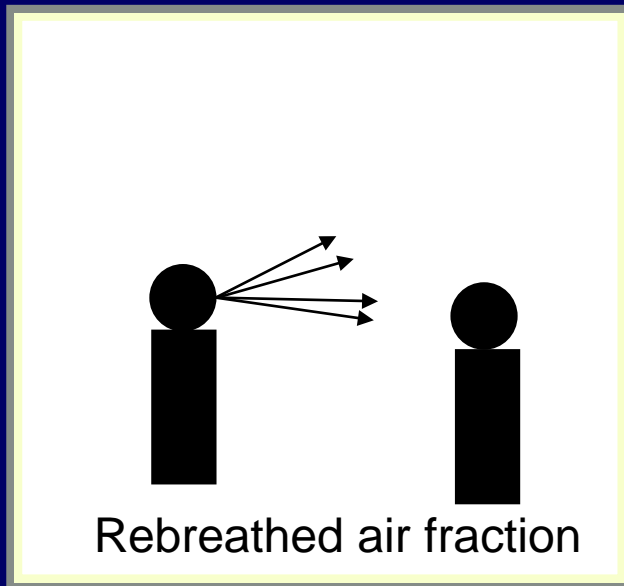
DOI: 10.1080/15459624.2020.1784427 <https://doi.org/10.1080/15459624.2020.1784427>



The key finding was that **droplet and inhalation** transmission routes predominate over the **contact route**, contributing **35%, 57%, and 8.2%** of the probability of infection, on average, without use of personal protective equipment.

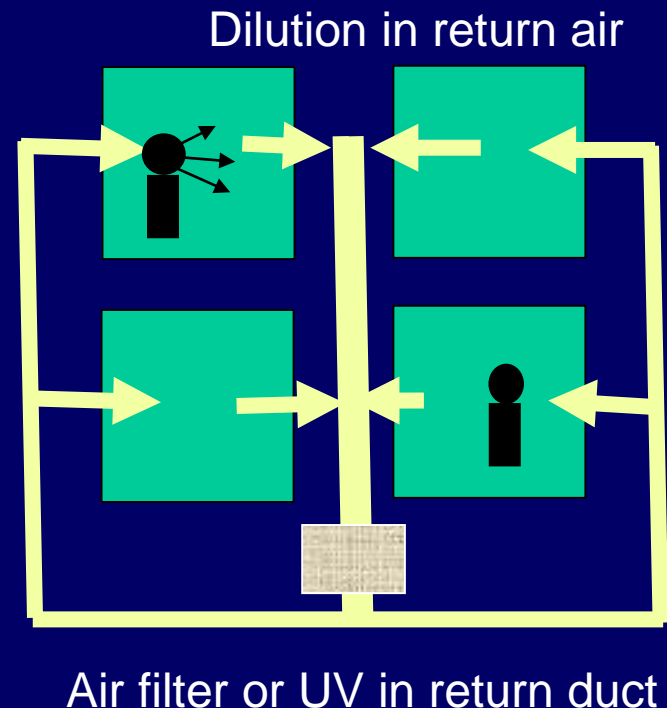
# Where is most Covid transmission occurring?

- In the room?



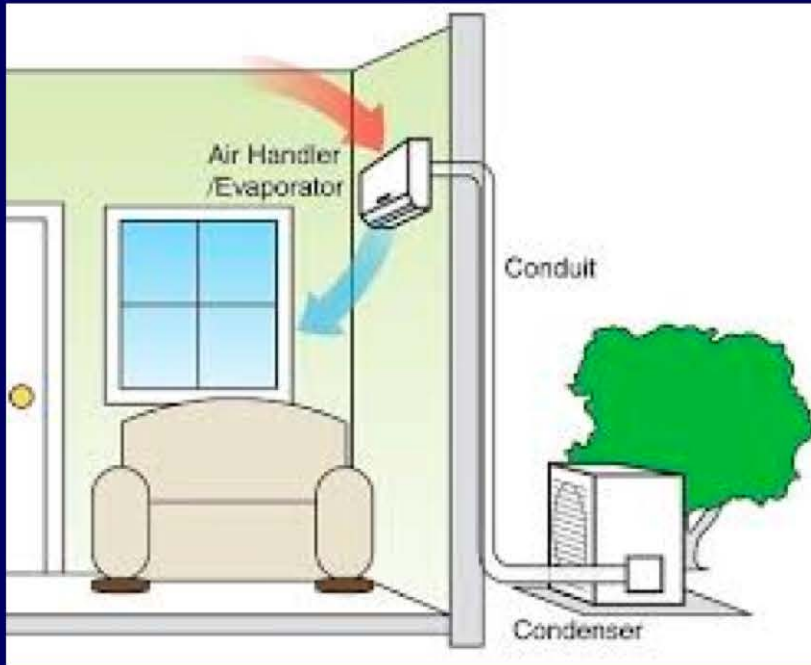
High volume ventilation, Room air cleaners  
Upper room UV air disinfection

- Throughout the ventilation circuit?

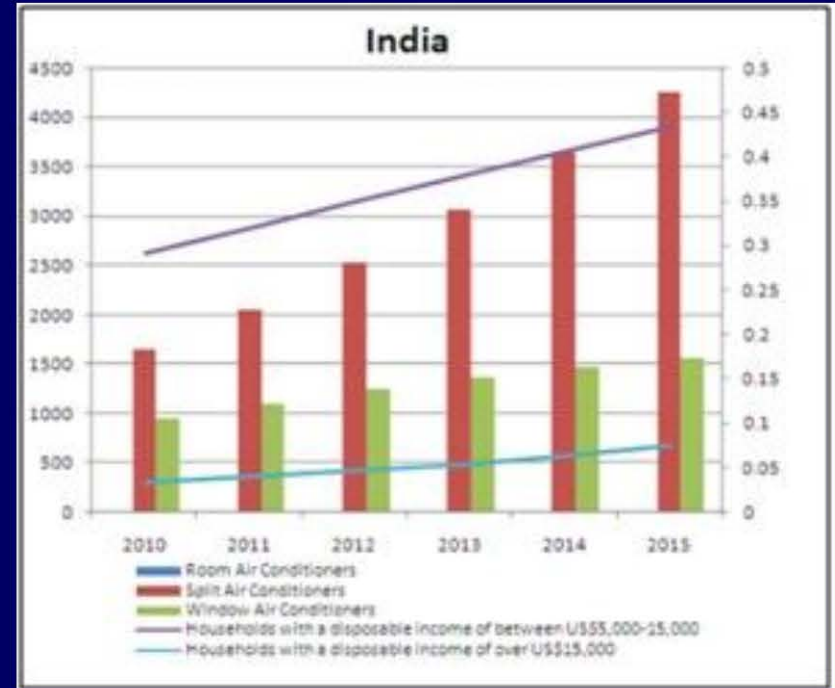


# Global Warming: Ductless AC requires closed windows

AC produces little if any air exchanges with outdoor air

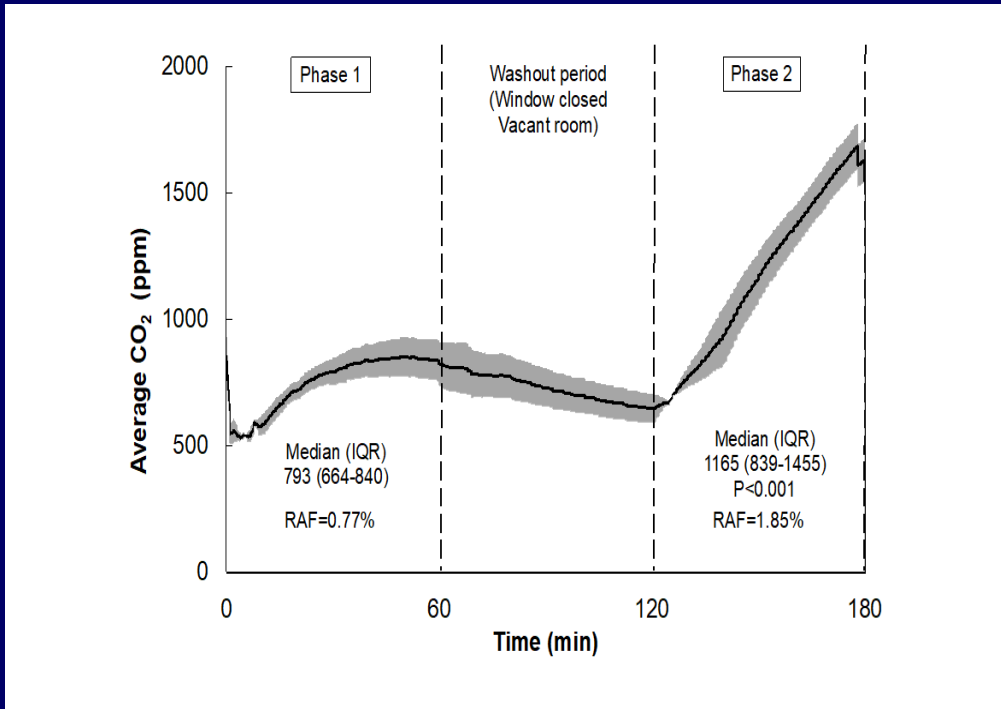


Ventilation reduced by 80% or more



AC sales in India, 2010 – 2015  
Red bars are ductless models

# Risk of airborne infection increases promptly when windows are closed?



CO<sub>2</sub> measurements over time CO<sub>2</sub> is a good surrogate for Rebreathed Air Fraction and risk of infection.


In one hour after window was closed in an occupied room, the risk of airborne infection doubled!

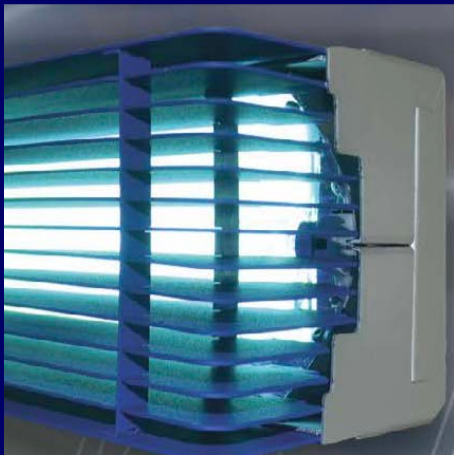
## EDITORIAL

Cool but dangerous: How climate change is increasing the risk of airborne infections  
Indoor Air. 2020;30:195–197.

# Air Disinfection



1. Natural Ventilation
2. Mechanical ventilation
3. Room air cleaners
4.  Upper room germicidal ultraviolet (GUV) air disinfection





# ***Alternatives to Natural Ventilation:***

- Natural ventilation:
  - Climate dependent, variable, closed windows (AC, air pollution)
- Mechanical ventilation:
  - Absent or poorly maintained
  - Flow limits: 6-12 ACH recommended – hard to achieve
  - Costly to cool or heat outside air
- Room air cleaners (portable or fixed; filter or UV):
  - Flow limits – rarely more than 1 or 2 ACH, depending on room size
  - Recapture of just processed air
- **Germicidal UV air disinfection**
  - In ducts – air disinfected *after* it leaves the room – not optimal!
  - Upper room – highly efficient and effective, requires know-how, manageable safety issues, other barriers
  - New modality may allow surface disinfection in occupied rooms

OTHER BOOKS BY THE AUTHOR

- LIGHT, VISION AND SEEING, 1944  
READING AS A VISUAL TASK (WITH FRANK K. MOSS), 1942  
TORCH OF CIVILIZATION, 1940  
COLOR AND COLORS, 1938  
THE SCIENCE OF SEEING (WITH FRANK K. MOSS), 1937  
SEEING AND HUMAN WELFARE, 1934  
SEEING—A PARTNERSHIP OF LIGHTING AND VISION (WITH  
FRANK K. MOSS), 1931  
ARTIFICIAL SUNLIGHT, 1930  
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LIGHTING FIXTURES AND LIGHTING EFFECTS, 1925  
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ARTIFICIAL LIGHT, 1920  
THE LANGUAGE OF COLOR, 1918  
THE LIGHTING ART, 1917  
LIGHT AND SHADE AND THEIR APPLICATIONS, 1916  
COLOR AND ITS APPLICATIONS, 1915, 1921

*Applications of  
Germicidal, Erythematous  
and Infrared Energy*

BY

MATTHEW LUCKIESH, D.Sc., D.E.

Director, Lighting Research Laboratory  
General Electric Company  
Nela Park, Cleveland



**Germicidal UV is not new technology  
Luckiesh's 1946 monograph**

NEW YORK  
D. VAN NOSTRAND COMPANY, Inc.  
250 FOURTH AVENUE  
1946

**75 years later – the application of upper room UV is an  
important tool for dealing with the Covid pandemic**

# Upper Room UVC effectively prevented measles transmission in schools

Wells and Wells Am J Hyg 1942;35:97-121.

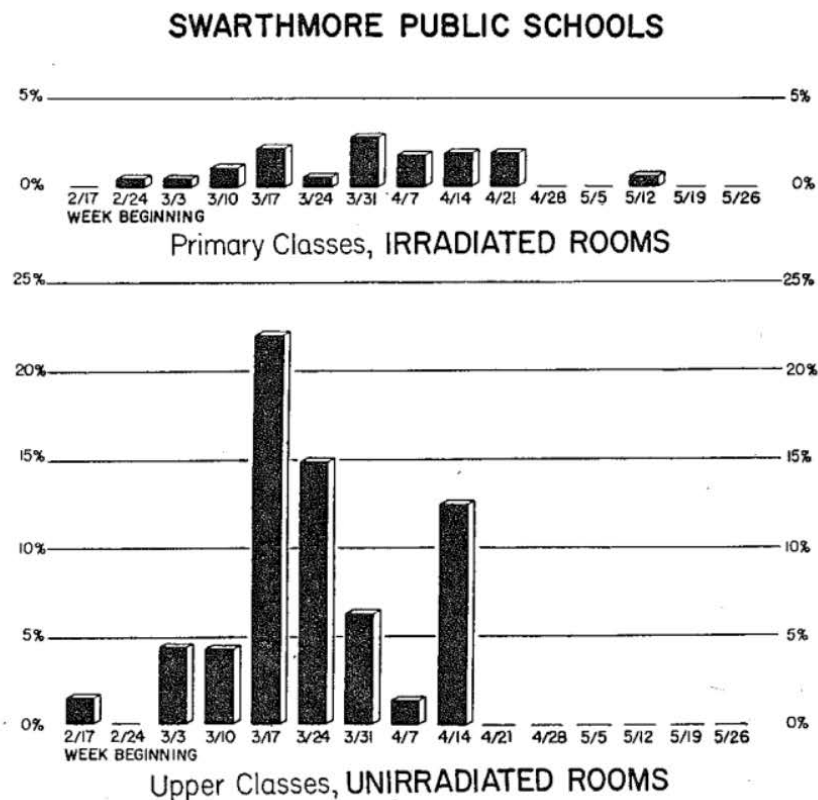
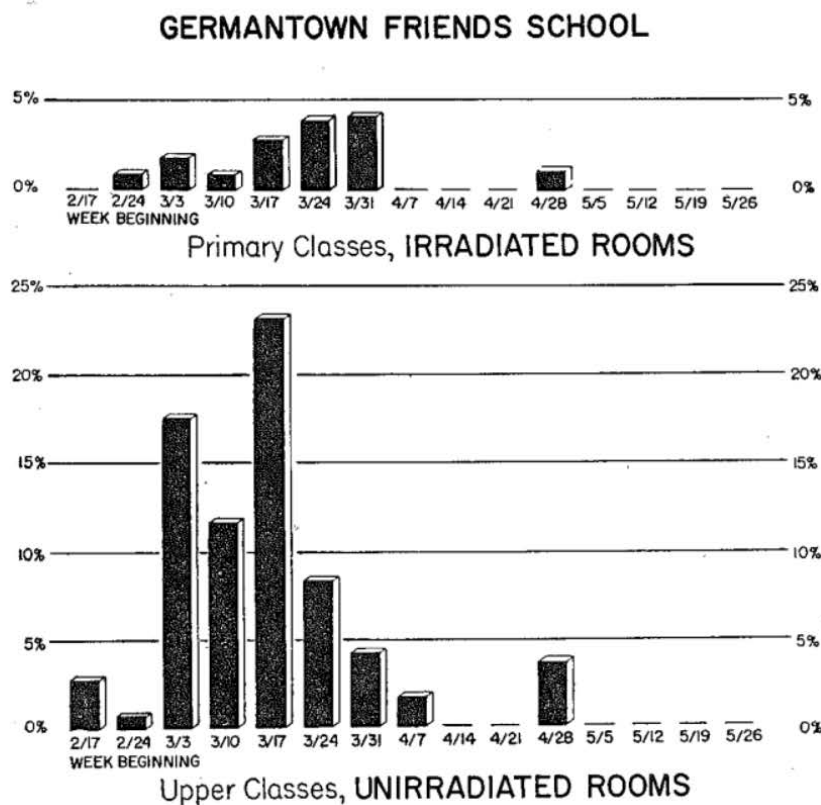
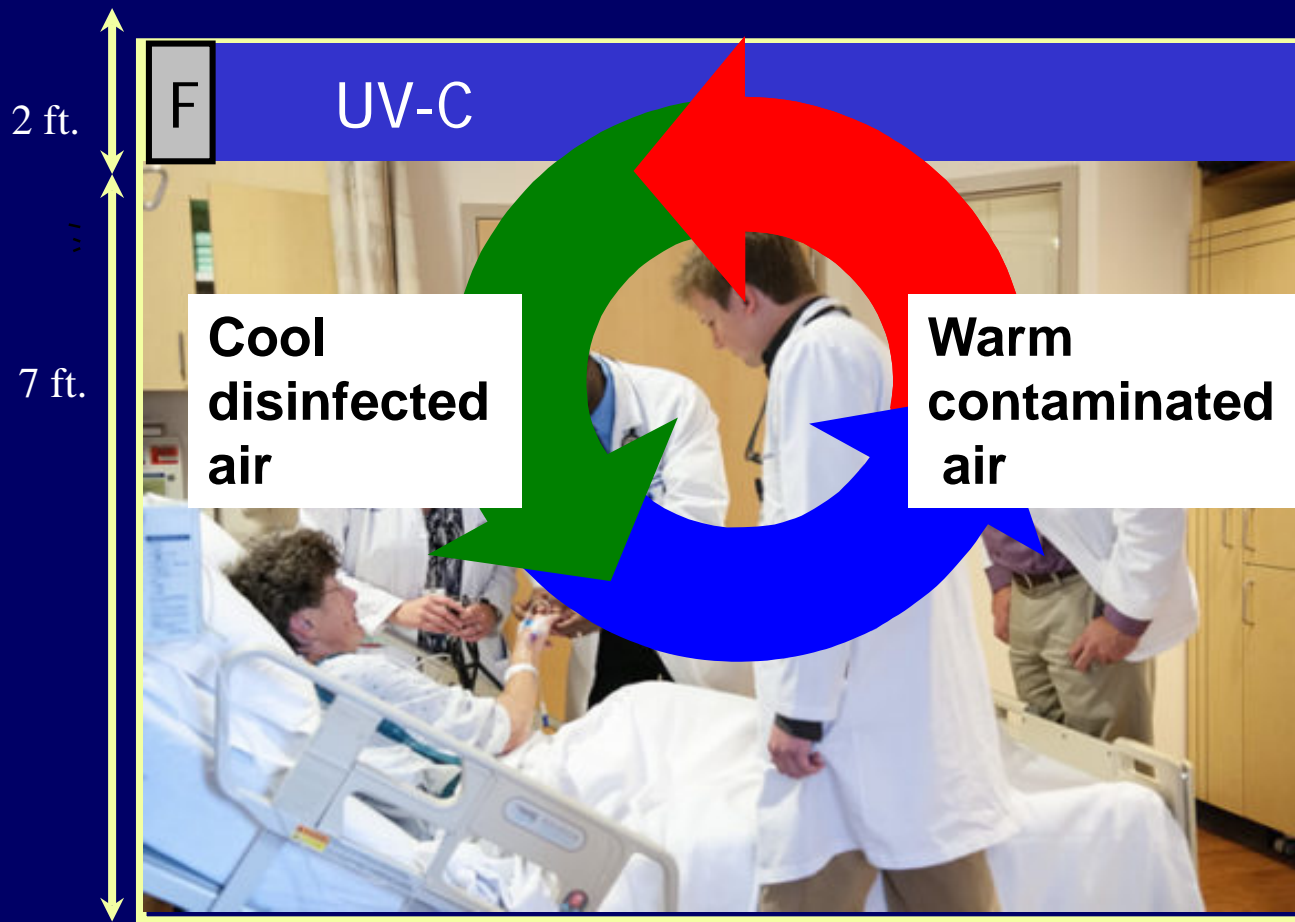


FIGURE 45. MEASLES EPIDEMIC IN PHILADELPHIA, 1941. Weekly attack rate among susceptibles (home secondaries excluded)

# Upper Room GUV Disinfects a Large Volume of Air at Once



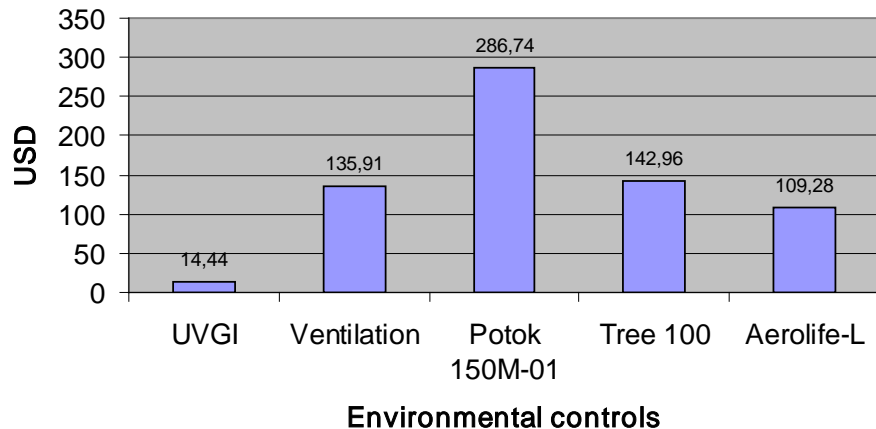
Low velocity ceiling fans assure good air mixing

# Cost effectiveness: ventilation vs 3 different room air cleaners vs GUV

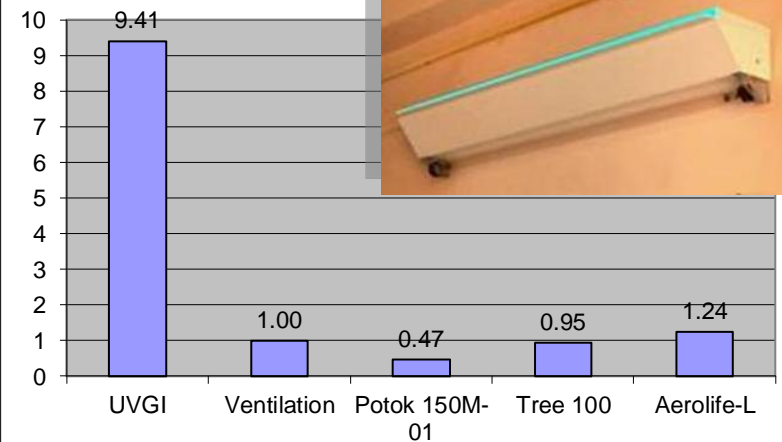
Grigory V. Volchenkov, MD, Oblast TB Dispensary, Vladimir, Russia  
in collaboration with Paul Jensen, PE, IH, PhD (CDC)

**Test chamber studies: aerosolized 2 test bacteria, mechanical air sampling**

**Cost of 1 equivalent ACH in the patient room**



**Relative economical efficiency (Ventilation = 1,0)**

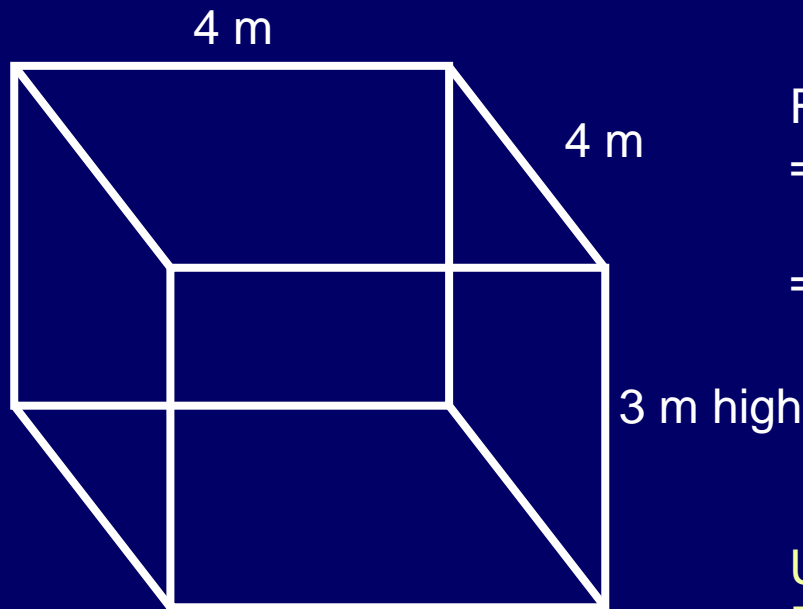


Operating cost per year per Eq ACH

# Comparison:

## Room air cleaner vs upper room GUV

(Pretoria meeting, July, 2016)



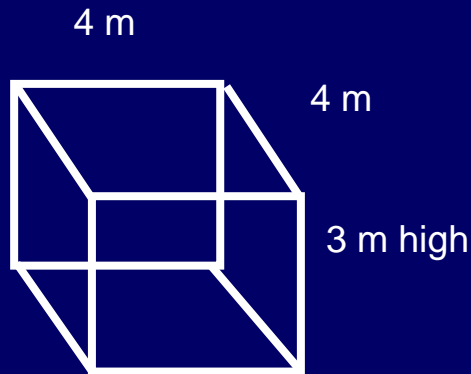
48 m<sup>3</sup>  
1 ACH = 48 m<sup>3</sup>/h  
1 ACH = 13.3 l/s

Room Air cleaner (RSA) = 60 cfm CADR  
= 28.3 l/s

= 2.1 ACH (assuming no re-capture  
and good air mixing)

Upper room UVGI – avg 30 uW/cm<sup>2</sup>  
For TB, Z = 41  
With good air mixing,  
= approx 20 ACH!

# Room air changes per hour (ACH) and equivalent ACH by UV or other means



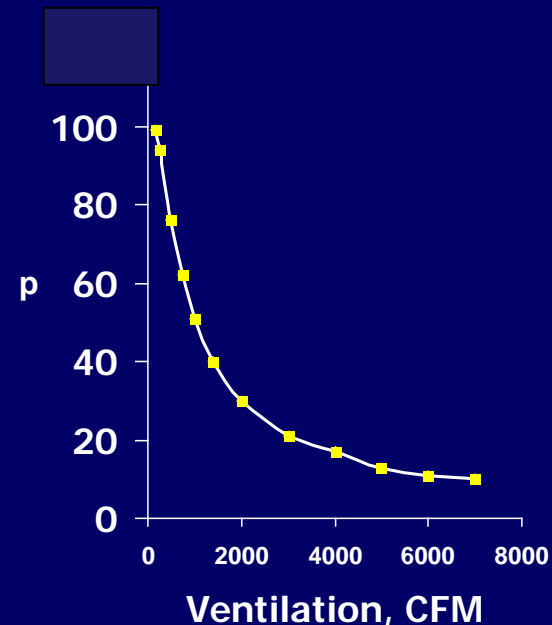
48 m<sup>3</sup>  
1 ACH = 48 m<sup>3</sup>/h  
1 ACH = 13.3 l/s

- 1 ACH (48 m<sup>3</sup>/h) removes 63% of room air contaminants (well mixed)

- The next AC removes 63% of what is left = 86% total
- If UV inactivates 86% of room air contaminants = 2 *equivalent* ACH

With continuous contamination higher ACH required to reduce probability of infection (p).

CDC recommends 6 – 12 ACH for airborne infection control



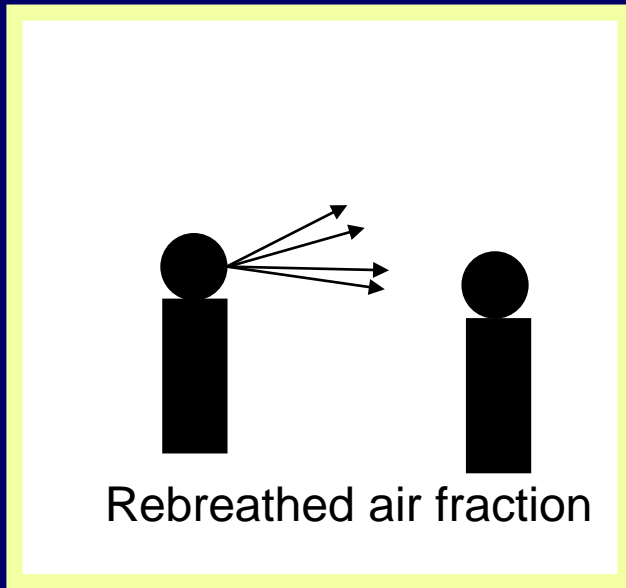
# Where upper room GUV should be considered for COVID-19?

- In areas where airborne transmission is likely
  - Healthcare: emergency rooms, ICUs, OPD waiting rooms, corridors, jails, shelters, nursing homes, *in addition to PPE*
- From asymptomatic persons who may have Covid: public buildings, stores, restaurants, banks, schools
  - *In addition to* physical distancing, mouth/nose covers, hand washing, etc.
- Caveat: not beneficial if airborne spread is not the principal pathway in that situation, e.g., nursing homes?



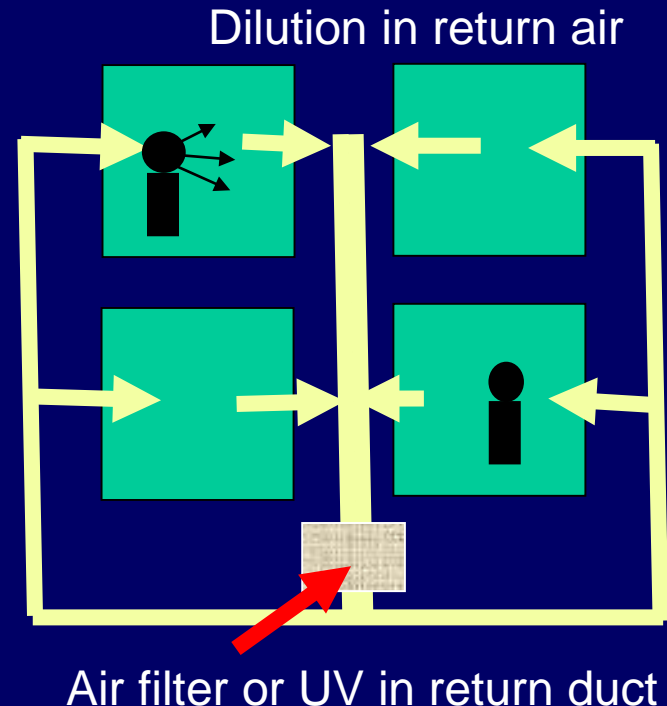
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High volume ventilation, Room air cleaners  
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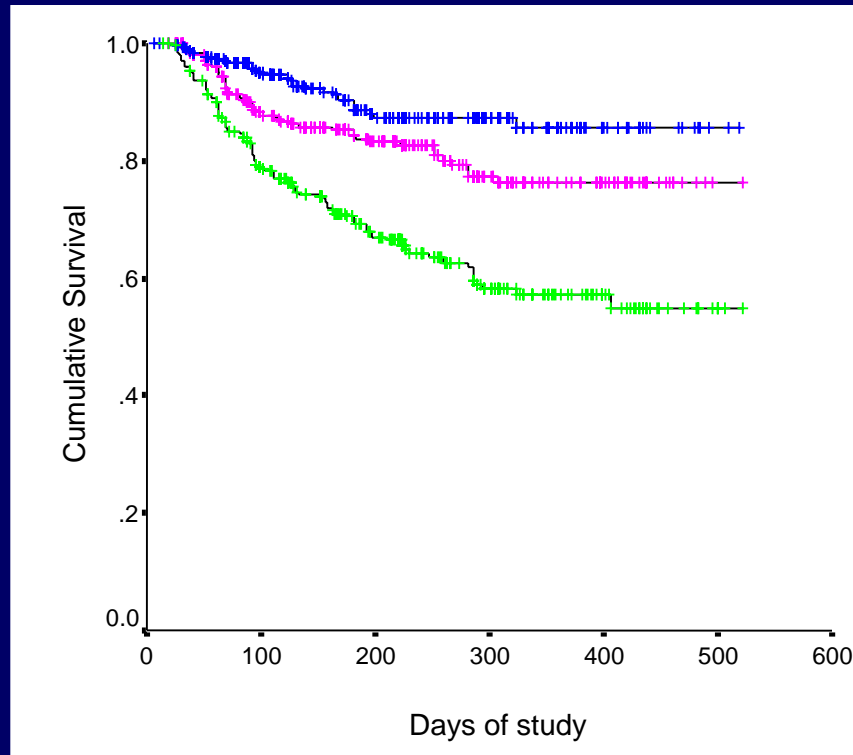
# Advances in Upper Room GUV Application

- Proof of efficacy
- Proof of safety
- Practical, evidence-based, dosing guidelines
- Fixture performance specifications defined.
  - Need to measure total fixture output:
    - gonioradiometry - for CAD (Visual-UV)
    - total integrating sphere
    - Rudnick traverse method for louvered fixtures
  - Importance of mean ray length in GUV design.
- Novel fixture designs – the Brandston fixture
- LED UV fixtures, or alternative ways of deployment
- Beyond fixture – “eggcrate” ceiling UV concept
- Beyond 254 nm – Far UV-C air and surface disinfection

# Upper room GUV light for the prevention of airborne tuberculosis transmission

R Escombe, R Ramirez, RH Gilman, M Navincopa, E Ticona, P Sheen, C Noakes, B Mitchell, D Moore, JS Friedland<sup>1</sup>, C Evans

(PLoS Medicine | [www.plosmedicine.org](http://www.plosmedicine.org) 0312 March 2009 | Volume 6 | Issue 3 | e1000043)



**UVGI reduced TB: 72% (80%)**  
**Ionisers reduced TB: 58%**

**Not entirely characterized**  
**– did not use the results to propose guidelines**

HOSPITAL  
NACIONAL  
“DOS DE MAYO”



UNIVERSIDAD PERUANA  
CAYETANO HEREDIA

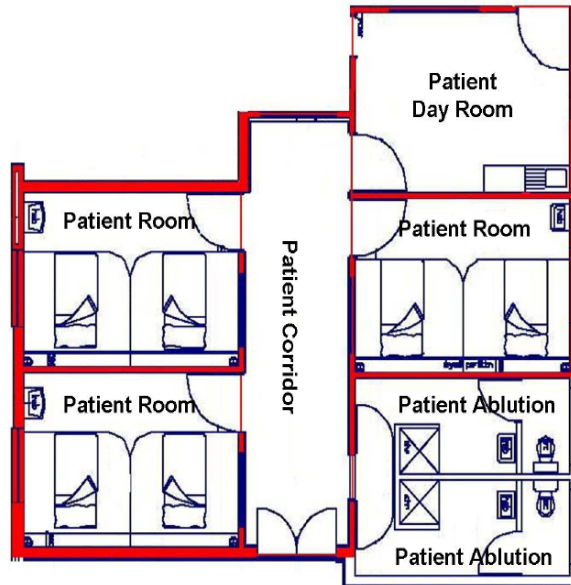


# The Airborne Infections Research (AIR) Facility Witbank, Mpumalanga Province, SA

Department of Health and Human Services  
Centers for Disease Control and Prevention  
National Institute for Occupational Safety and Health



**NIOSH**

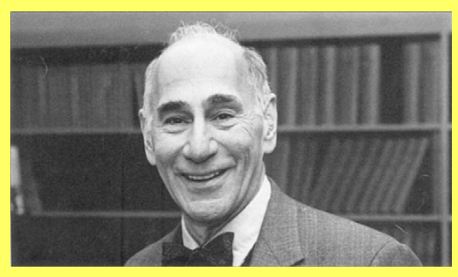


## Ventilation ducts in patient rooms



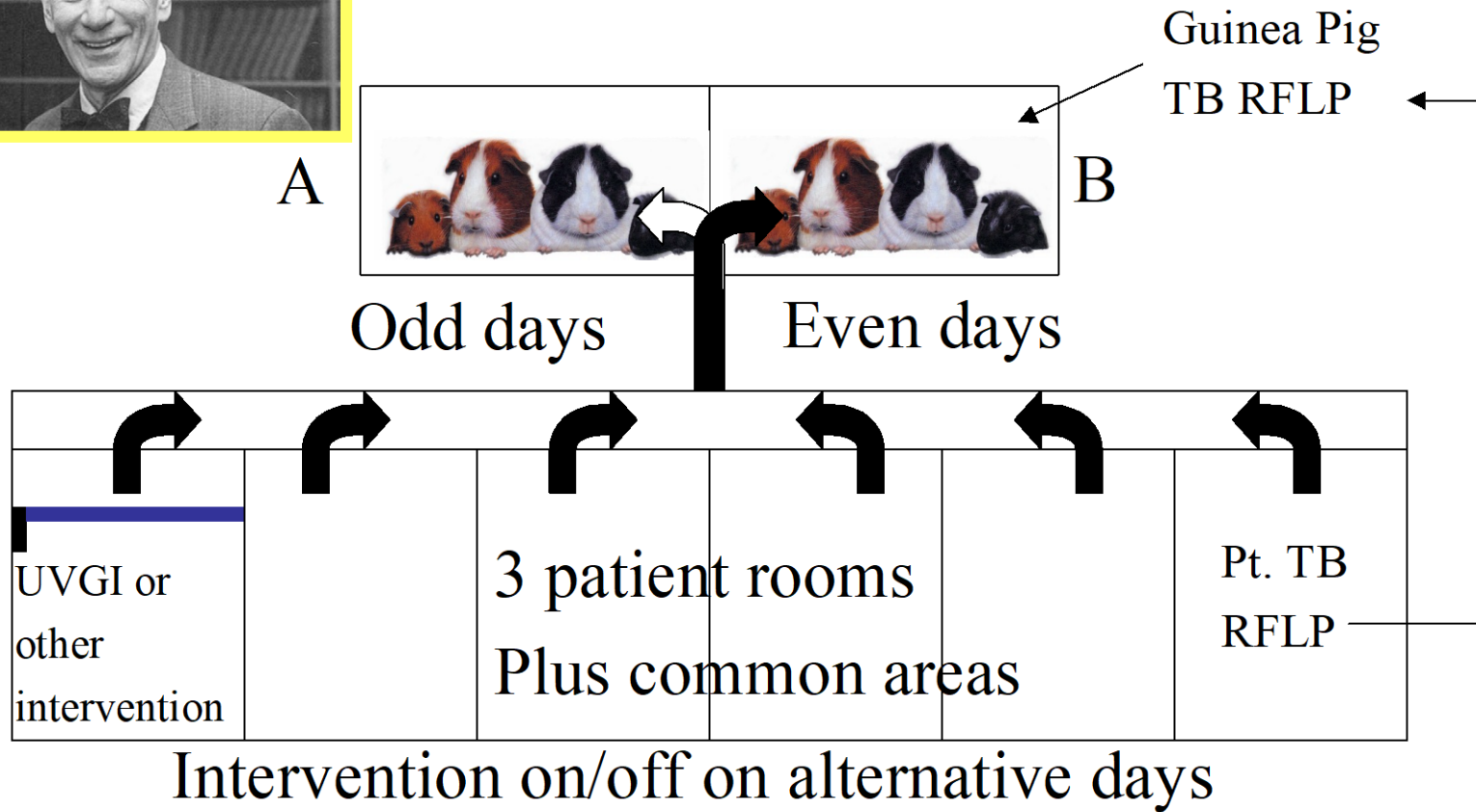
**Paddle Fans Assure Good Air Mixing**

# AIR, Experimental Plan



## Guinea Pig Air Sampling

Sol Permutt – experimental design, ATS, 1993



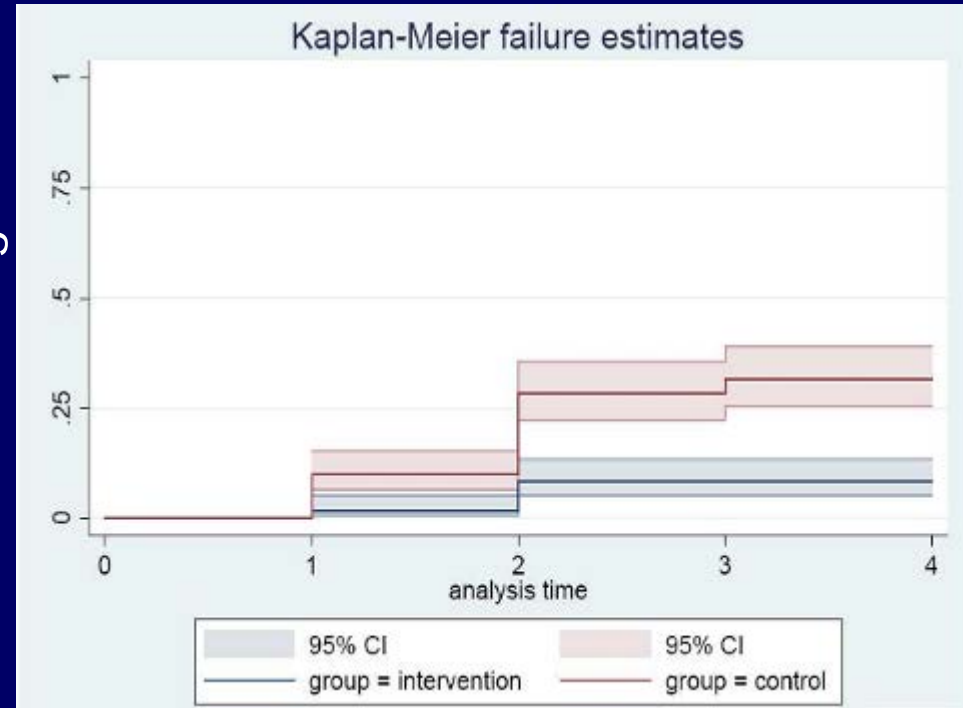
# Results:

UV1	<i>Intervention</i>	<i>Control</i>
TST-1	0	1
TST-2	0	3
TST-3	0	5
TST-4	0	0
TOTAL	0	9

UV2	<i>Intervention</i>	<i>Control</i>
TST-1	3	17
TST-2	12	30
TST3	0	1
TOTAL*	15	48

\*p<0.0005

Hazard of becoming infected



**Combined** hazard ratio 4.9 (CI.95: 2.8, 8.6) or about 80% effective – corrected for multiple hits.

**Note:** 6 ACH (mechanical) but UVGI added the equivalent of 24 EqACH

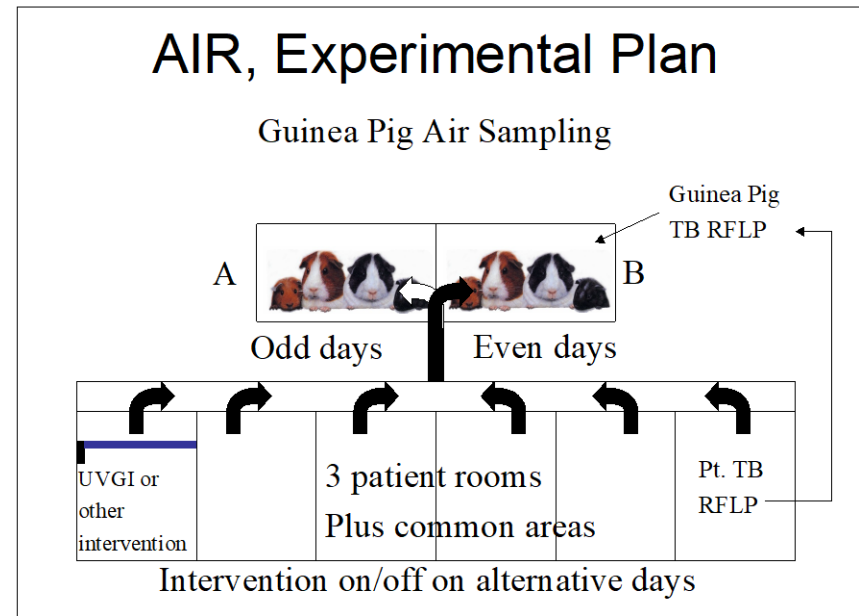
## Institutional Tuberculosis Transmission

### Controlled Trial of Upper Room Ultraviolet Air Disinfection: A Basis for New Dosing Guidelines

Matsie Mphahlele<sup>1</sup>, Ashwin S. Dharmadhikari<sup>2</sup>, Paul A. Jensen<sup>3</sup>, Stephen N. Rudnick<sup>4</sup>, Tobias H. van Reenen<sup>5</sup>, Marcello A. Pagano<sup>6</sup>, Wilhelm Leuschner<sup>7</sup>, Tim A. Sears<sup>8</sup>, Sonya P. Milonova<sup>4</sup>, Martie van der Walt<sup>9</sup>, Anton C. Stoltz<sup>10</sup>, Karin Weyer<sup>11</sup>, and Edward A. Nardell<sup>2,12</sup>

## Upper Room Germicidal Ultraviolet Systems for Air Disinfection Are Ready for Wide Implementation

Shelly Miller editorial

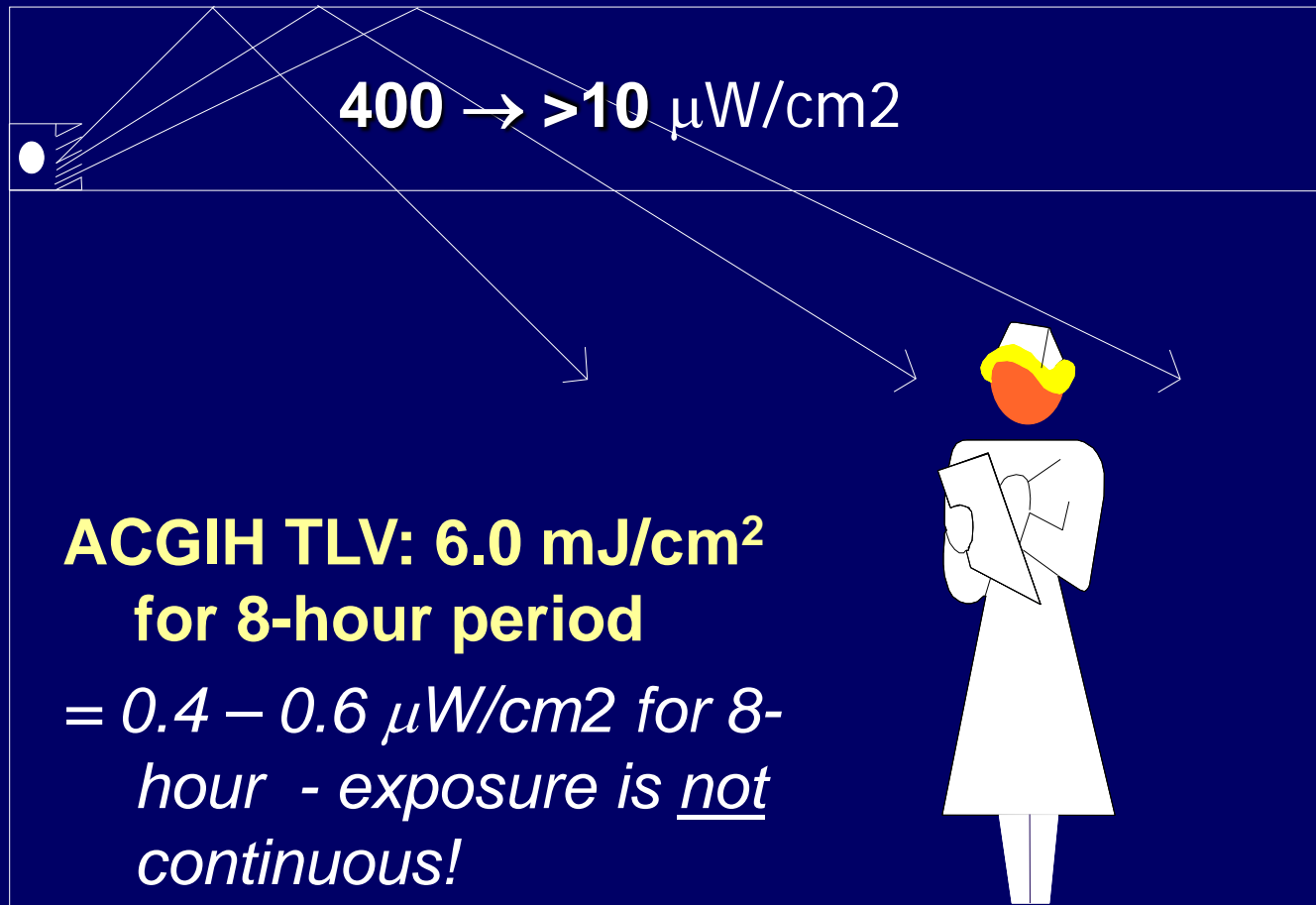




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# Upper Room 254 nm UV is Safe for Room Occupants



Tuberculosis **UV Shelter Study (TIUSS)** showed no eye or skin complaints compared to placebo lamps  
Ref: Public Health Rep. 2008 Jan-Feb;123(1):52-60

# IES: UV-C PHOTOCARCINOGENESIS RISKS FROM GERMICIDAL LAMPS SUMMARY (CIE 187:2010)

- Known side effects of overexposure to UV-C radiation include transient corneal and conjunctival irritation (photo-keratoconjunctivitis) and skin irritation (erythema), which disappear within a 24 – 48-hour period, not currently known to produce lasting biological damage.
- The ACGIH and ICNIRP threshold limit for 8-hour continuous exposure to UV-C radiation at 254 nm is 6 mJ·cm<sup>-2</sup> (60 J·m<sup>-2</sup>), and proper installation of well engineered UV-C systems meet this criteria. However, there have been incidents of poor installations resulting in accidental overexposure.
- General statements that all UVR is carcinogenic have raised safety concerns of open air UV-C systems. Although, from basic biophysical principles, UV-C radiation is carcinogenic for the same reason that it is an effective germicidal agent, the attenuation provided by the stratum corneum and epithelial tissues of the skin greatly reduces the risk relative to UV-B radiation. UV germicidal irradiation can be safely and effectively used for upper air disinfection without a significant risk for long term delayed effects such as skin cancer.

# Summary:

- Sars-CoV-2 appears to spread primarily indoors by large and small airborne droplets, and less so by contaminated surfaces.
- Spread appears to be primarily in the room of the infectious source with little evidence of spread through HVAC systems – although theoretically possible
  - Air disinfection should focus on rooms not recirculation.
- Upper room UV-C (254 nm) is a well-established, safe, and highly effective method of air disinfection that can be implemented today to help reduce the spread of Covid-19
  - High-intensity UV surface disinfection is also well established for unoccupied hospital rooms
  - LED UV and 222 nm UV are becoming available and will make GUV even more useful