



Development of Recombinant Vaccines for the Therapy of Carcinomas

Monotherapy and Combination Therapy

Jeffrey Schlom, Ph.D.

Laboratory of Tumor Immunology and Biology (LTIB)

Center for Cancer Research

National Cancer Institute, NIH



Disclosure

The Laboratory of Tumor Immunology and Biology,
Center for Cancer Research, NCI, NIH,
has Collaborative Research and Development
Agreements (CRADAs) with:

- Bavarian Nordic Immunotherapeutics
- GlobeImmune

concerning the design and development of
recombinant cancer vaccines

J. Schlom is an inventor on patents via NCI TTC and NIH OTT.

Drs. Schlom and Madan will discuss experimental therapeutic
cancer vaccines in different states of clinical development:
PROSTVAC and PANVAC.

STRATEGIC PLAN

Cancer Vaccine Development:

- Focus on human carcinoma
- Focus on development of vaccines that can be widely evaluated

Ultimate Use:

- Early in disease process/low tumor burden
- Survival as the endpoint
- Minimal toxicity

Immuno-Oncology Platform:

- Combination immune therapies
 - immune stimulation strategies
 - reduction of immune inhibitory entities
- Combination Therapies: Vaccine plus:
 - conventional therapies
 - conventional therapies in novel strategies
 - other experimental therapies

Translational Research Programmatic Effort

PRECLINICAL STUDIES:

Laboratory of Tumor Immunology and Biology (LTIB)

James Hodge
Claudia Palena
Al Tsang
Jack Greiner
Jianping Huang

Ingrid Fernando
Benedetto Farsaci
Sofia Gameiro

Laboratory of Molecular Biology

Ira Pastan

Vaccine Branch

Jay Berzofsky

CLINICAL STUDIES:

LTIB/Medical Oncology Branch

James Gulley Ravi Madan
Mary Pazdur

Medical Oncology Branch

William Dahut Tito Fojo
William Figg
Marijo Bilusic Chris Heery

Radiation Oncology

Kevin Camphausen Deborah Citrin

Urologic Oncology

Marston Linehan Peter Pinto
Gennady Bratslavsky

Biostatistics and Data Management Section

Seth Steinberg

NIH Nuclear Medicine

C.H. Paik

NIH Interventional Radiology

Brad Wood

Translational Research Programmatic Effort

CLINICAL STUDIES — EXTRAMURAL:

Georgetown – John Marshall

Dana Farber Cancer Center – Donald Kufe, Paul Eder, Philip Kantoff

Columbia – Howard Kaufman

Cancer Institute of New Jersey – Edward Lattime, Robert DiPaola

Ohio State – William Carson

Duke – H. Kim Lyerly, Michael A. Morse

Eastern Cooperative Oncology Group (ECOG) – Robert DiPaola

CANCER THERAPY EVALUATION PROGRAM (CTEP):

Howard Streicher

Jan Casadei

PRIVATE SECTOR:

- **GlobeImmune – David Apelian**

- **BN ImmunoTherapeutics – Wayne Godfrey, Reiner Laus**

NCI Technology Transfer Center: Kevin Brand, Bob Wagner, Karen Maurey

NIH Office of Technology Transfer: Sabarni Chatterjee, Mojdeh Bahar

Recombinant Vaccine Vectors

- Pox vectors

Vaccinia (rV-) elicits a strong immune response

- host induced immunity limits its continuous use
- MVA (replication defective)

Avipox (fowlpox rF-, ALVAC)

- derived from avian species
- safe; does not replicate
- can be used repeatedly with little if any host neutralizing immunity

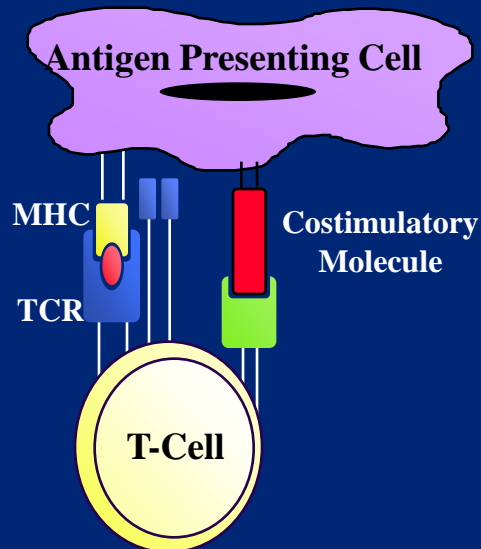
- **Can insert multiple transgenes**

- Do not integrate into host DNA

- Efficiently infect antigen presenting cells including dendritic cells

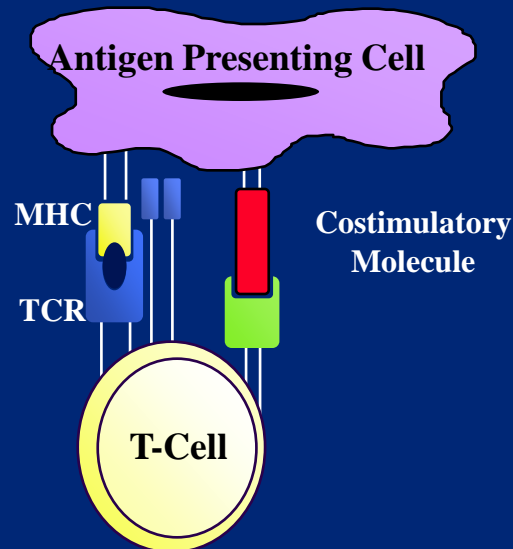
T-Cell Dependence on Costimulation

Signal 1 + Signal 2



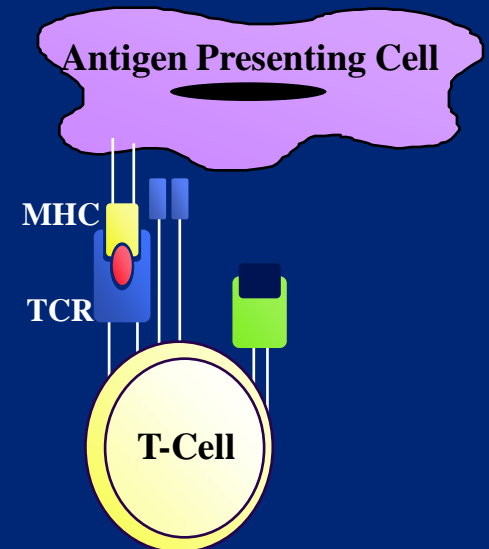
**Activation of
Antigen-Specific
T-cells**

No Signal 1



**Clonal Anergy
Apoptosis
Ignorance**

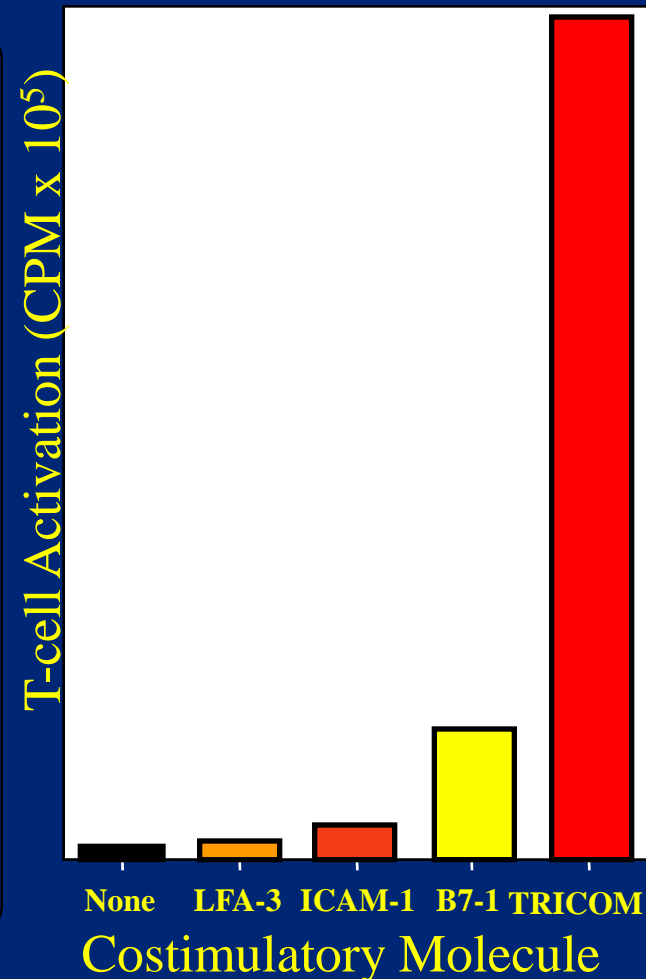
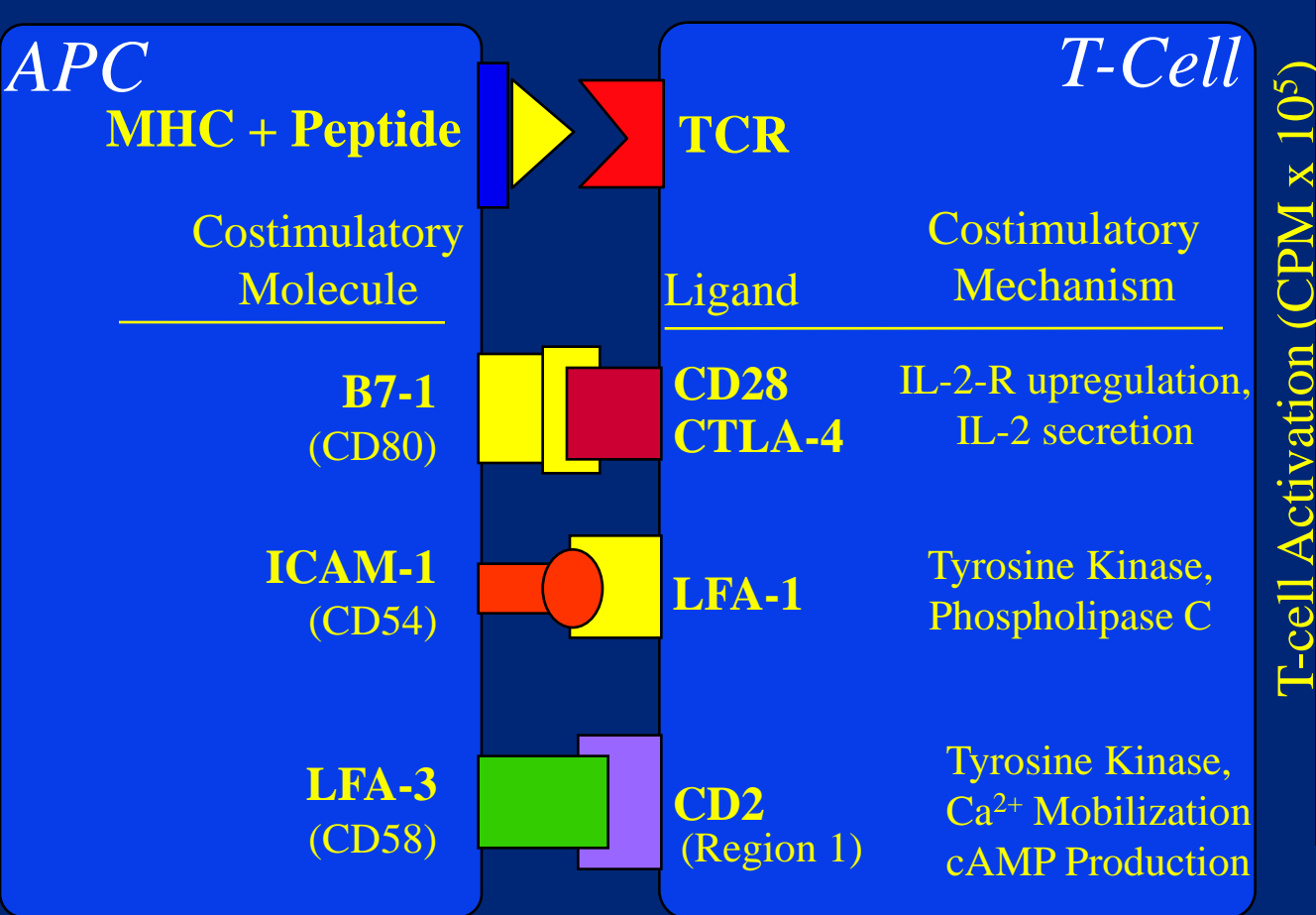
No Signal 2



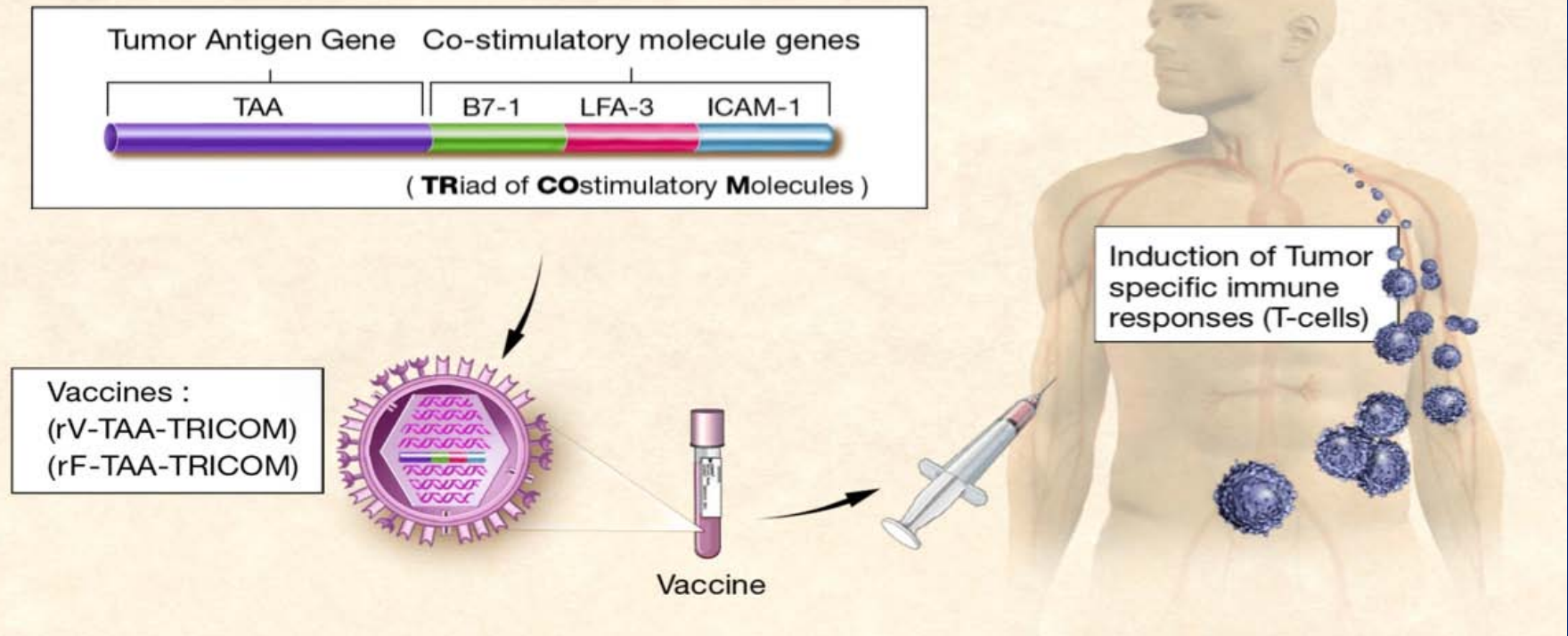
**Clonal Anergy
Apoptosis
Ignorance**

Costimulatory Molecule Candidates

- | Major Costimulatory Effect must be on the T-cell
- | No Overlap of T-cell Ligands
- | No Redundancy of Costimulatory Mechanisms



TRICOM Vaccines



TRICOM

TRIad of COstimulatory Molecules

<u>Costimulatory Molecule</u>	<u>Ligand on T cell</u>
B7-1 (CD80)	CD28/CTLA-4
ICAM-1 (CD54)	LFA-1
LFA-3 (CD58)	CD2

TRICOM = B7-1/ICAM-1/LFA-3

CEA/TRICOM = CEA/B7-1/ICAM-1/LFA-3

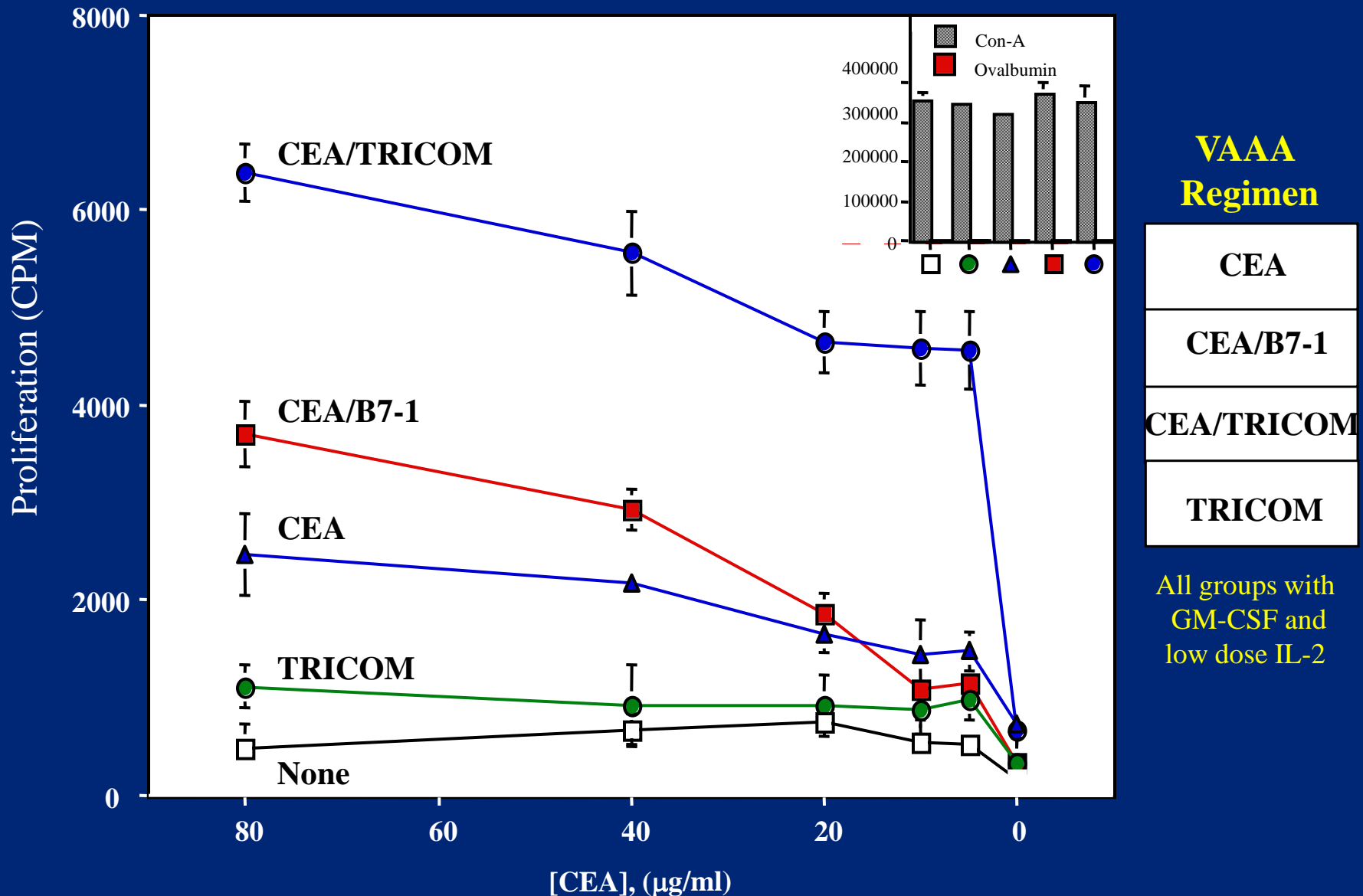
CEA/MUC-1/TRICOM = CEA/MUC-1/B7-1/ICAM-1/LFA-3 (PANVAC)

PSA/TRICOM = PSA/B7-1/ICAM-1/LFA-3 (PROSTVAC)

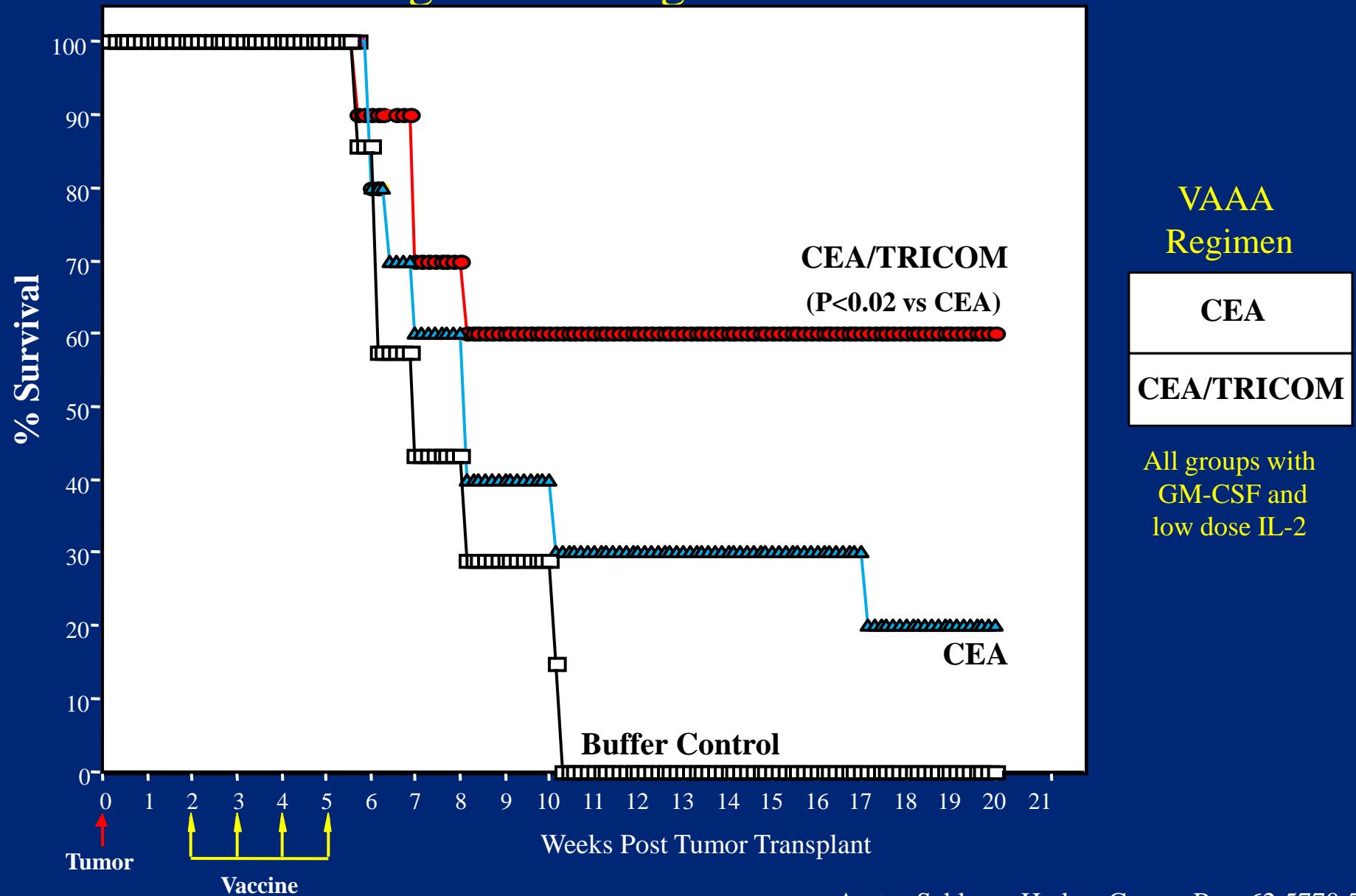
All vaccines contain: rV- as a prime vaccine
avipox (fowlpox, rF-) as multiple booster vaccines

CEA, MUC-1, and PSA transgenes all contain
enhancer agonist epitopes

CEA-specific Lymphoproliferation of T Cells from CEA-Tg Mice Vaccinated with TRICOM Vectors



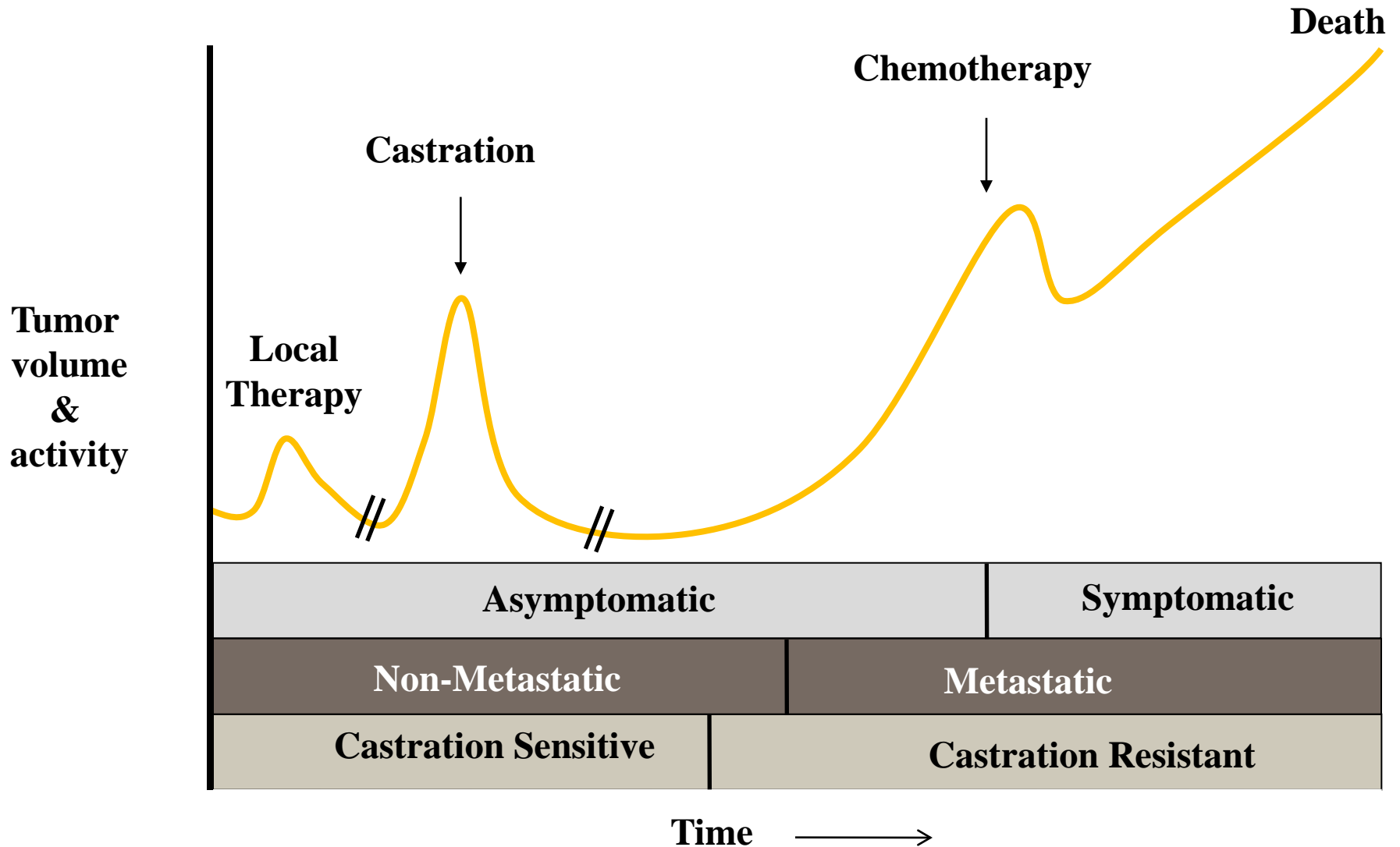
Therapy of 14-Day Established CEA⁺ Experimental Metastases in CEA-Tg Mice Using CEA/TRICOM Vectors



Prostate Cancer and Vaccine Therapy

- Long interval from primary diagnosis to metastatic disease
- Serum PSA (doubling time/velocity) as a surrogate for therapeutic benefit or disease recurrence
- Nomogram (Halabi) at metastatic disease
 - can predict more indolent vs more aggressive disease

Natural History of Disease Progression in Prostate Cancer

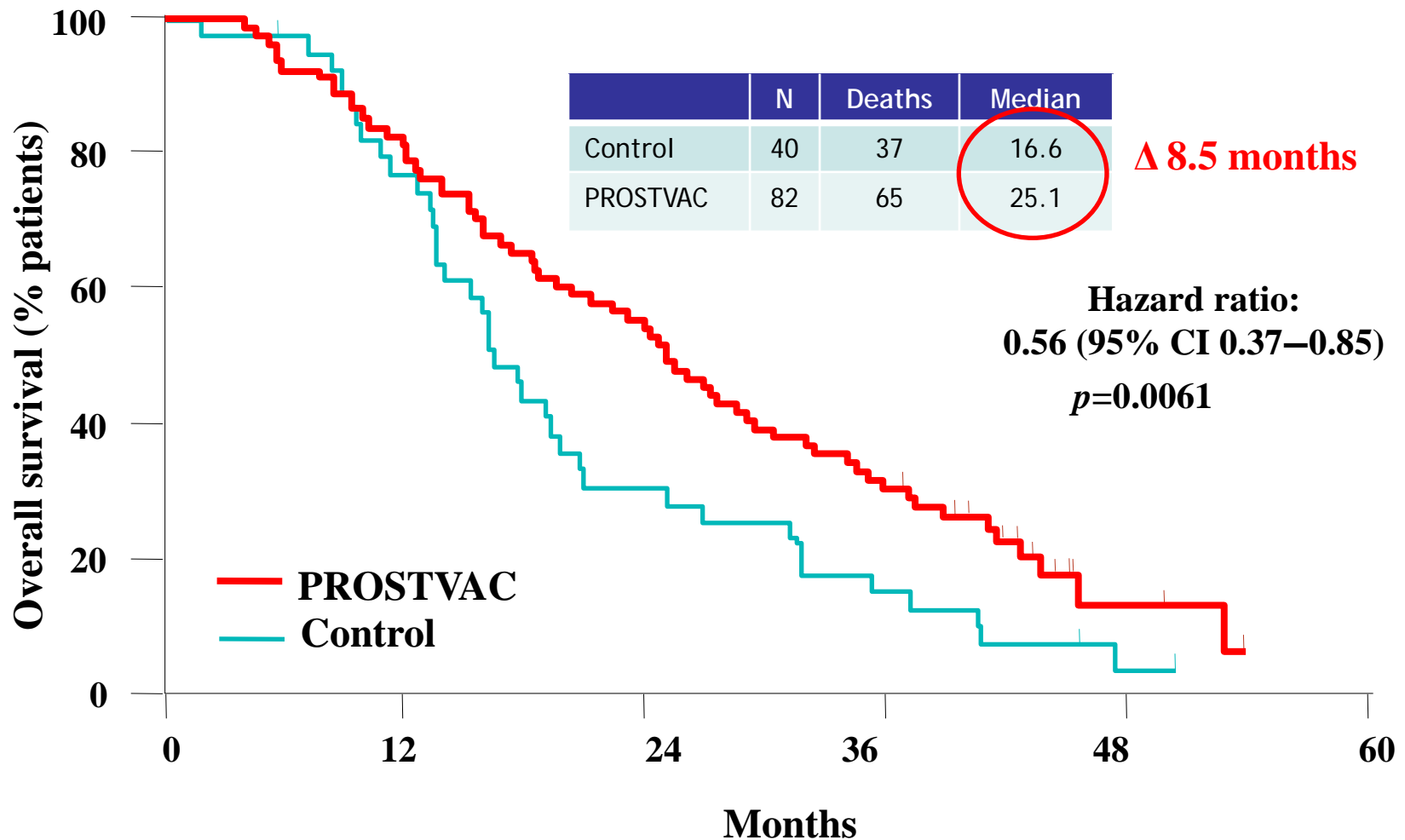


Therapies Shown to Improve Overall Survival in Metastatic Castration-Resistant Prostate Cancer

Agent	Type of therapy	Stop treatment 2° AE	Improvement in median OS	Hazard ratio	Reduction in death rate	Approved
Docetaxel	chemotherapy	11%	2.4 months	0.76	24%	2004
Cabazitaxel	chemotherapy	18%	2.4 months	0.70	30%	2010
Abiraterone	hormone	19%	3.9 months	0.66	34%	2011
Sipuleucel-T	vaccine	1.5%	4.1 months	0.78	22%	2010
Prostvac*	vaccine	~2%	8.5 months	0.56	44%	—

* rV-, rF-PSA-TRICOM – Results of a Phase II randomized, placebo (vector)–controlled, 43-center trial.

PROSTVAC Significantly Extended Overall Survival



Randomized Multicenter Placebo-controlled Vaccine Therapy Trial in Castrate-resistant Metastatic Prostate Cancer Patients

Observations:

- A. Time to Progression: no difference in arms**
- B. Median survival (at 4 years median follow-up)**
 - Placebo: 16.6 months**
 - Vaccine: 25.1 months (p=0.006)**
- C. 44% reduction in death rate in vaccine arm**

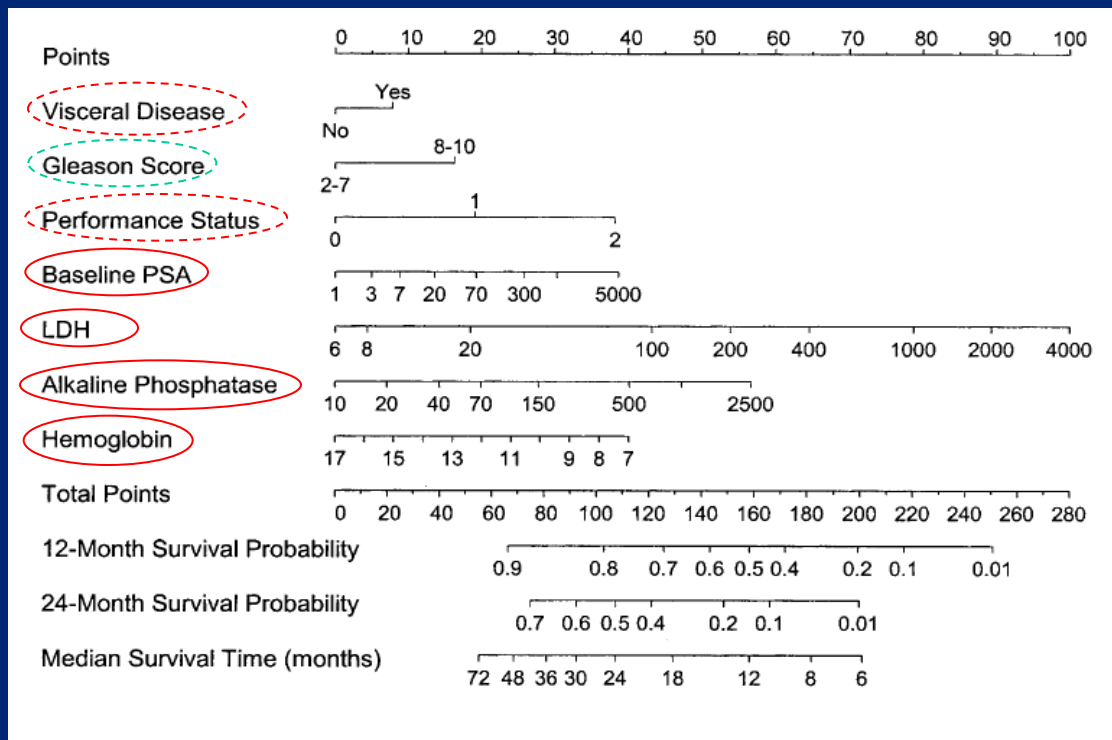
Phase II Study of PSA-TRICOM

- **32 patients with metastatic castration-resistant prostate cancer (CRPC)**
- **Chemotherapy naive**
- **Primary endpoint: immune response by ELISPOT**
- **Secondary / exploratory endpoints: Response, Survival**

Prognostic Model for Predicting Survival in Men with Hormone-Refractory Metastatic Prostate Cancer

By Susan Halabi, Eric J. Small, Philip W. Kantoff, Michael W. Kattan, Ellen B. Kaplan, Nancy A. Dawson, Ellis G. Levine, Brent A. Blumenstein, and Nicholas J. Vogelzang

J Clin Oncol., 2003



Conclusion:
Can predict survival probabilities.

Volume of disease
Aggressiveness of disease

Predicted Survival by Halabi Score vs Actual Survival

All
patients

Patients with
Halabi predicted
survival < 18 mos

Patients with
Halabi predicted
survival \geq 18 mos

Vaccine: PROSTVAC (n=32)

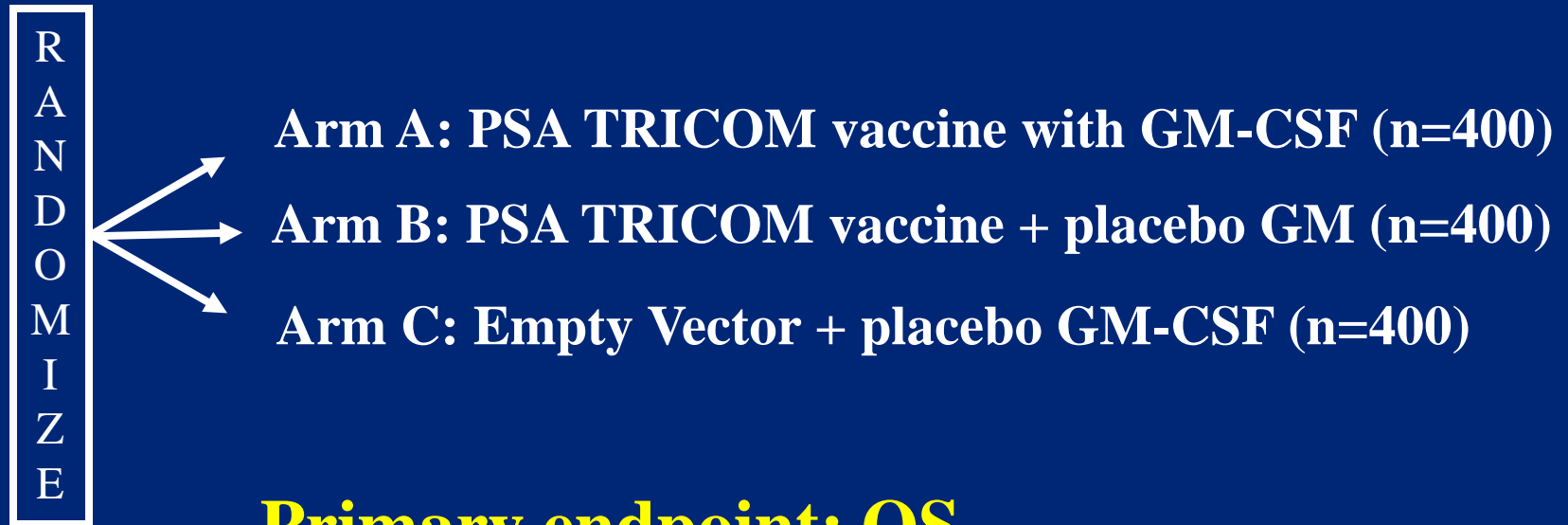
Predicted survival by Halabi score (mos)	17.4	12.3	20.9
Actual median overall Survival (mos)	26.6	14.6	Not reached (8 of 15 pts alive at 37.3 mos)
Difference (mos)	9.2	2.3	≥ 16.4
Patients survival longer than predicted by Halabi nomogram	22 of 32 (69%)	10 of 17 (59%)	12 of 15 (80%) $p = 0.035$

Docetaxel therapy (n=22)

Predicted survival by Halabi score (mos)	16.5	13.0	21.0
Actual median overall survival (mos)	15.5	15.4	16.9
Difference (mos)	(-1.0)	2.4	(-4.1)
Patients survival longer than predicted by Halabi nomogram	11 of 22 (50%)	8 of 13 (62%)	3 of 9 (33%)

Planned Phase III

Patient Population: Metastatic CRPC (**Asymptomatic or minimally symptomatic**)

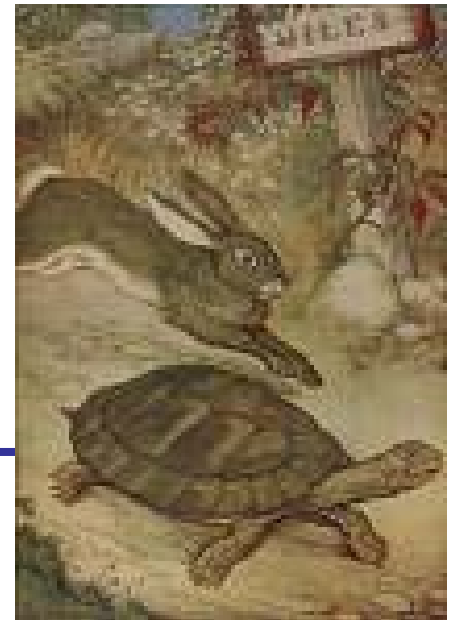
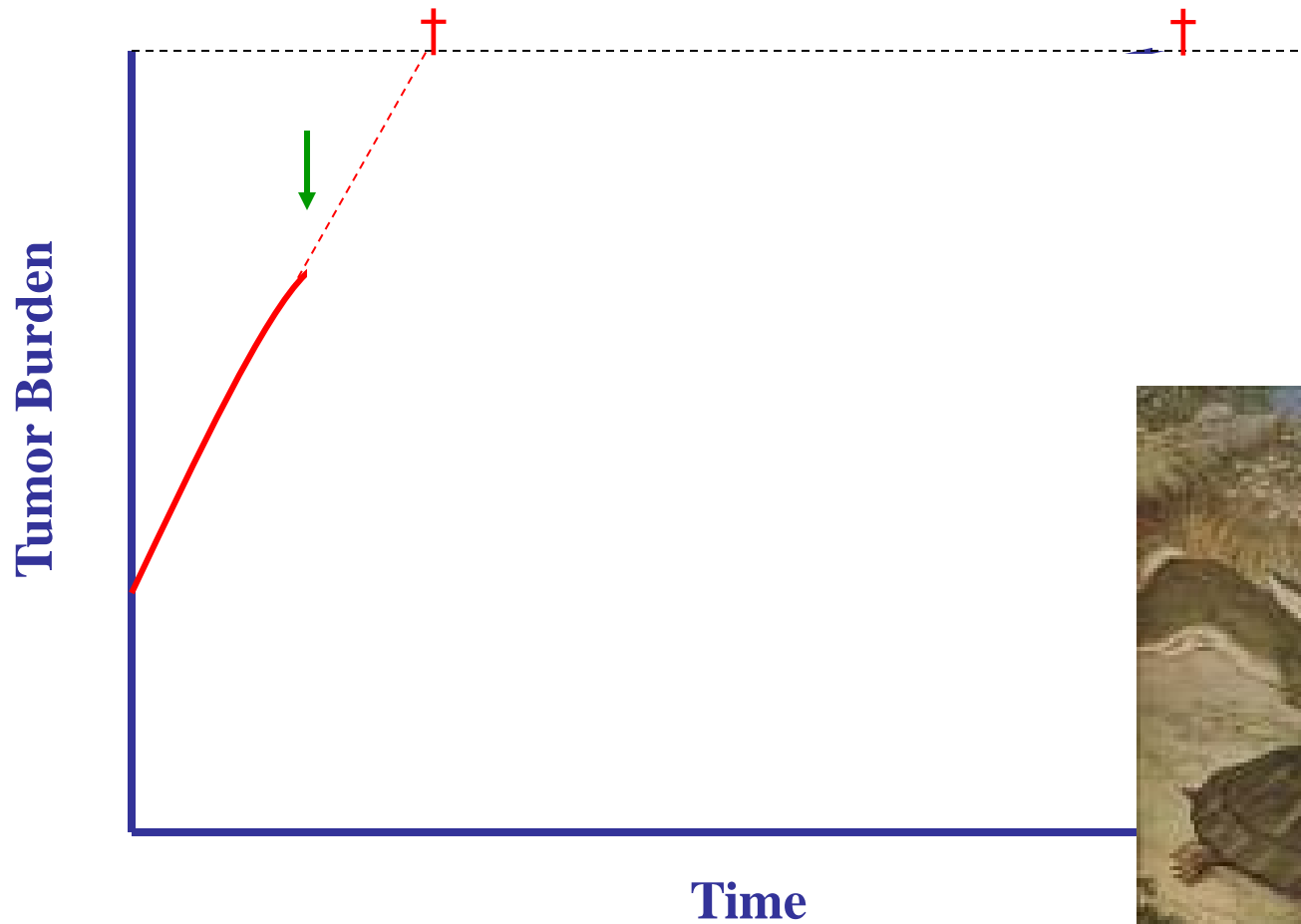


Primary endpoint: OS
Power = 90% $\alpha = 0.005$
Critical HR 0.8

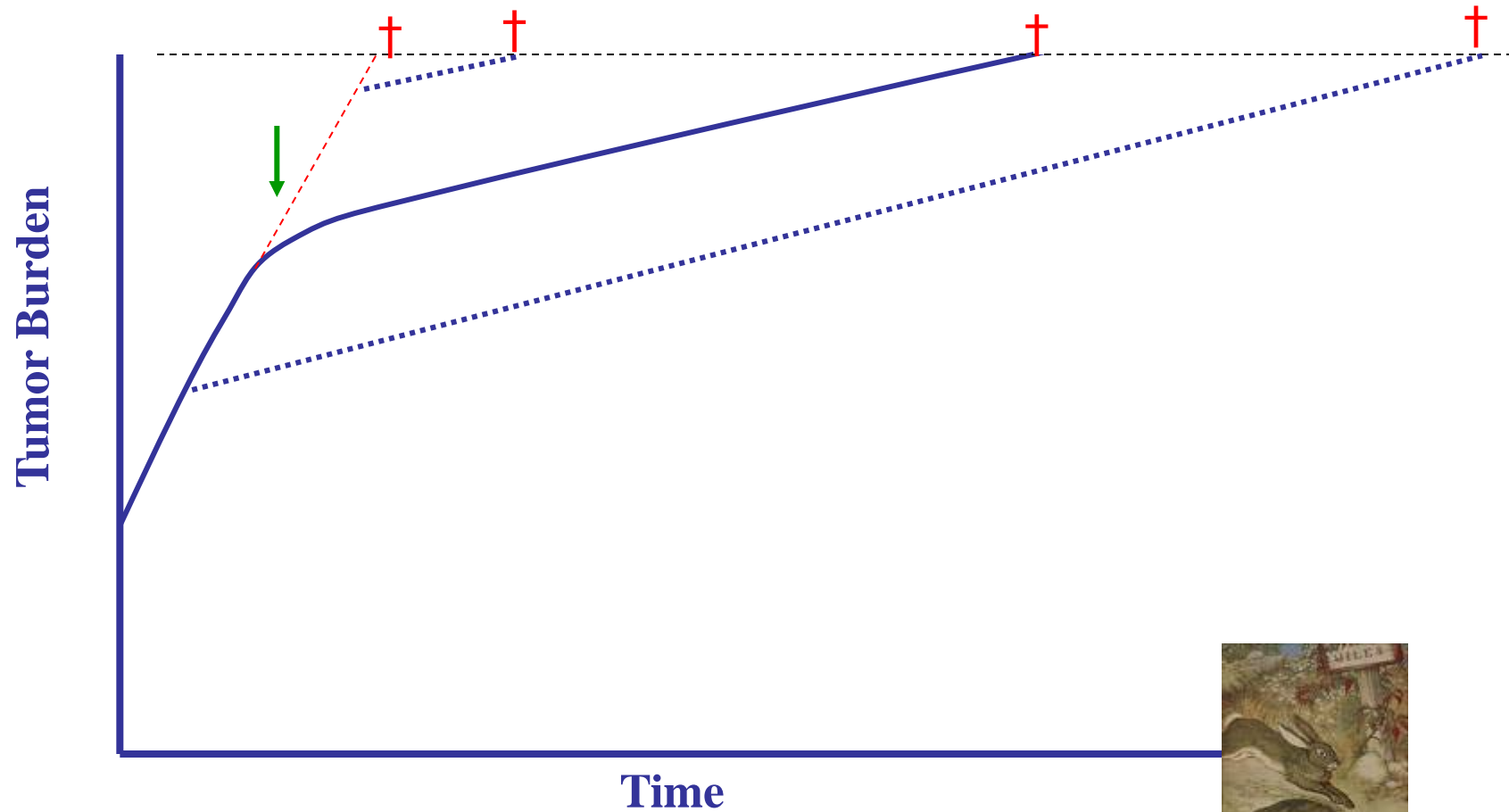
Expected to open 2011

PI: Gulley

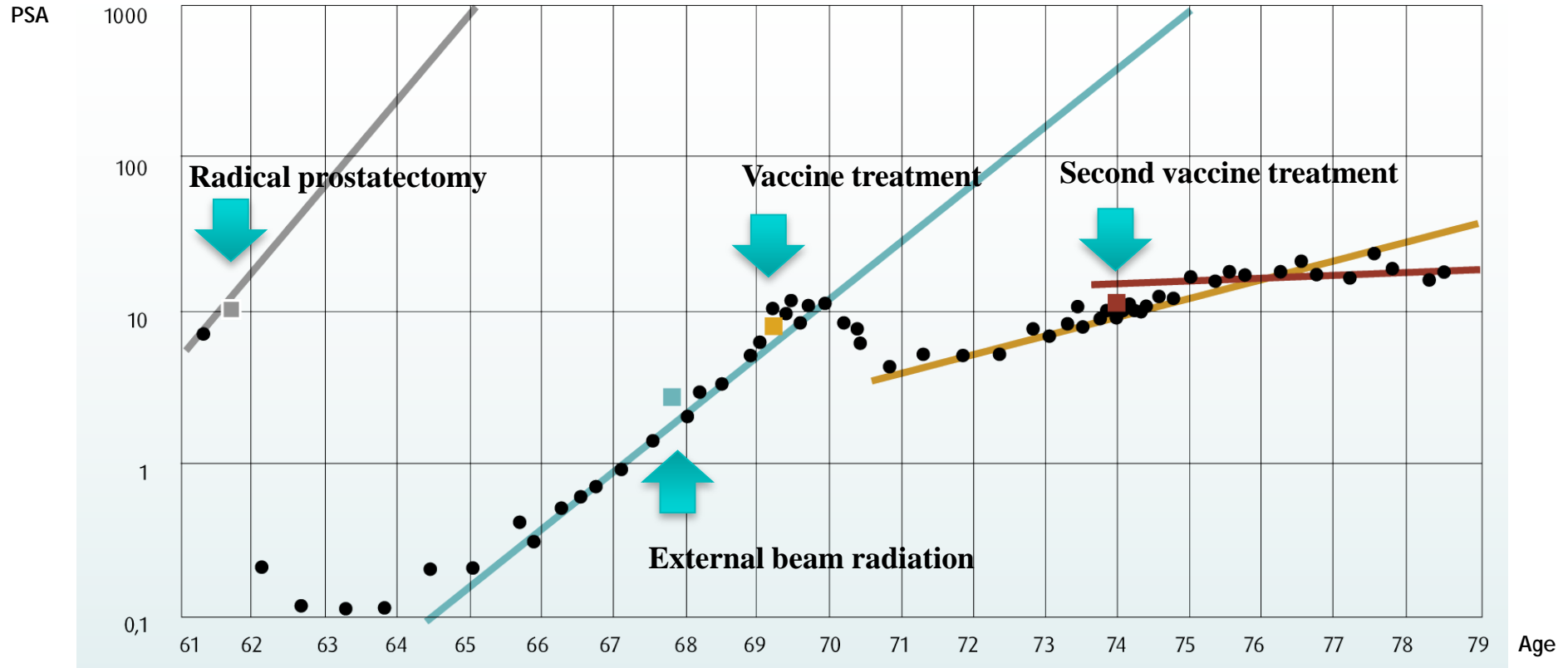
Tumor Growth Rate



Tumor Growth Rate



PROSTVAC – Interesting Case History



Gleason grade: 4 + 3 = 7

Trend before radical prostatectomy

Trend after radical prostatectomy. External beam radiation

Trend after first vaccine trial

Trend after second vaccine trial

Doubling time

5.8 months

9.6 months

28.6 months

27 years

Age at which

PSA would equal 1000

65 years

75 years

93 years

Vaccine Combination Therapies

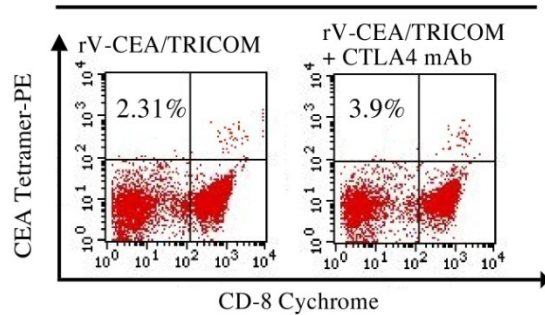
**The use of cancer vaccines with
other immune-mediating therapies:**

- **enhancers of immune stimulation**
(e.g., GM-CSF, IL-12, IL-15)
- **immune checkpoint inhibitors**
(e.g., **anti-CTLA-4**, MAb, inhibitors of TGF- β)
- **T-cell adoptive transfer therapy**
- **other cancer vaccines**
TRICOM + yeast

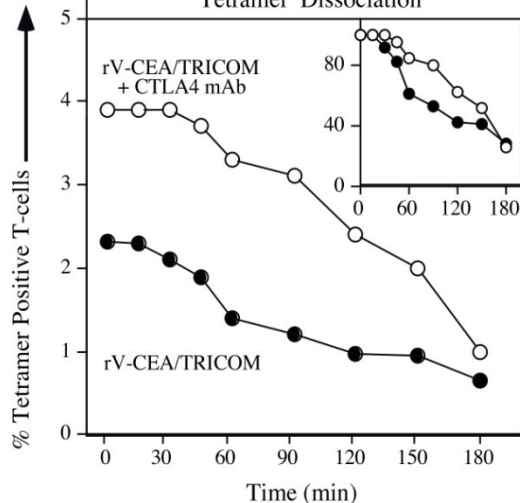
Effect of Multiple Costimulatory Modalities to Enhance CTL Avidity



Vaccination



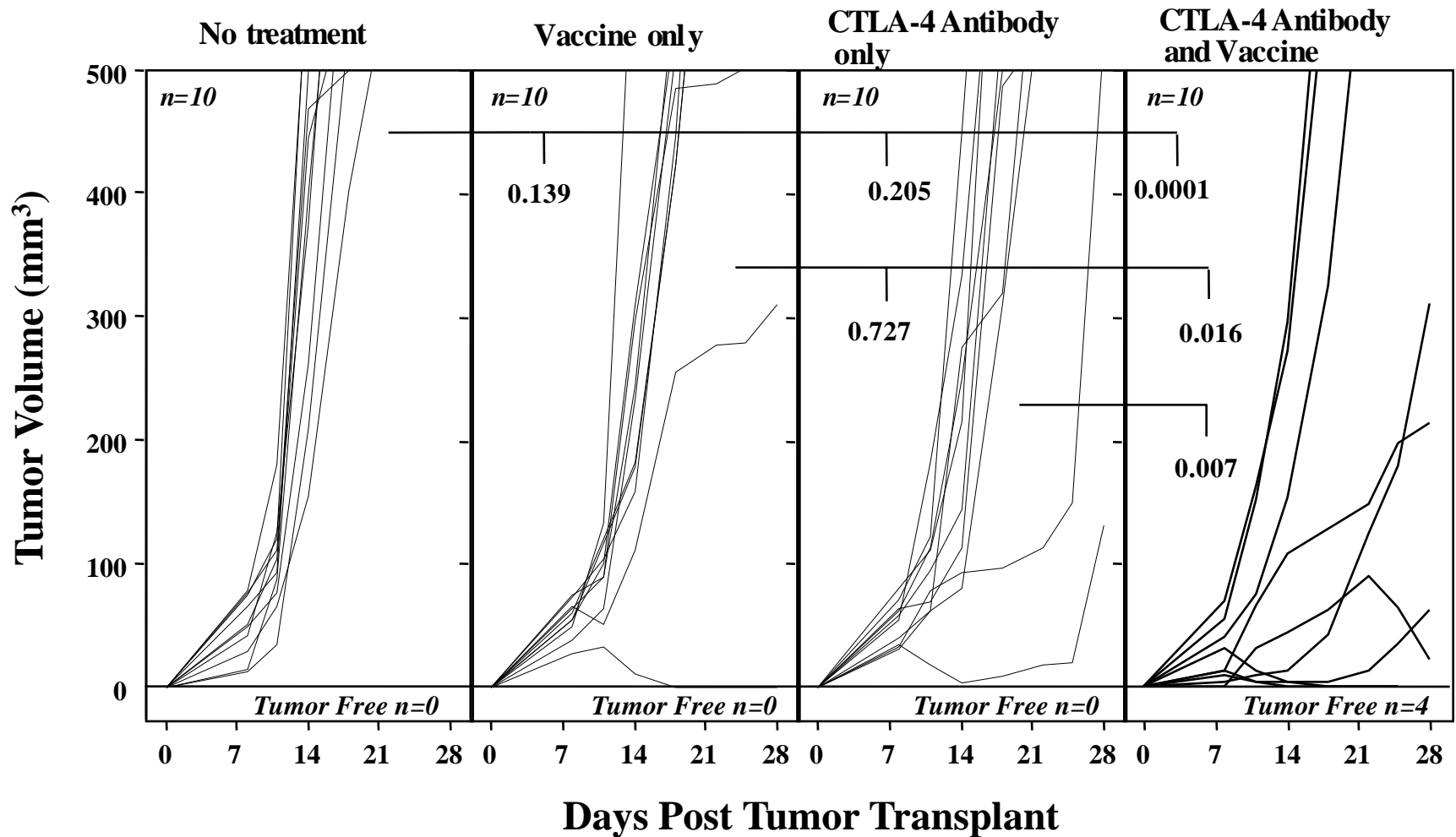
Tetramer Dissociation



<u>Vaccine Modality</u>	<u>Precursor Frequency/10⁵ CD8 T Cells</u>	<u>Δ Precursor</u>	<u>Peptide Concentration for CTL (nM)</u>	<u>Δ Avidity*</u>
rV-CEA	321	<u>1.0x</u>	510	<u>1.0x</u>
rV-CEA/TRICOM	769	<u>2.4x</u>	5	<u>102.0x</u>
rV-CEA/TRICOM+ anti-CTLA-4	1,303	<u>4.0x</u>	0.4	<u>1,275x</u>

- Avidity defined as the natural log of the peptide concentration that results in 50% maximal target lysis. Derby, Berzofsky. J Immunol. 166:1690–7.

Combination Therapy: Vaccine and α -CTLA-4



Mouse : CEA/Tg

Tumor : MC32a

Vaccine : Prime on Day 4 and Boost on Day 11,18 and 25

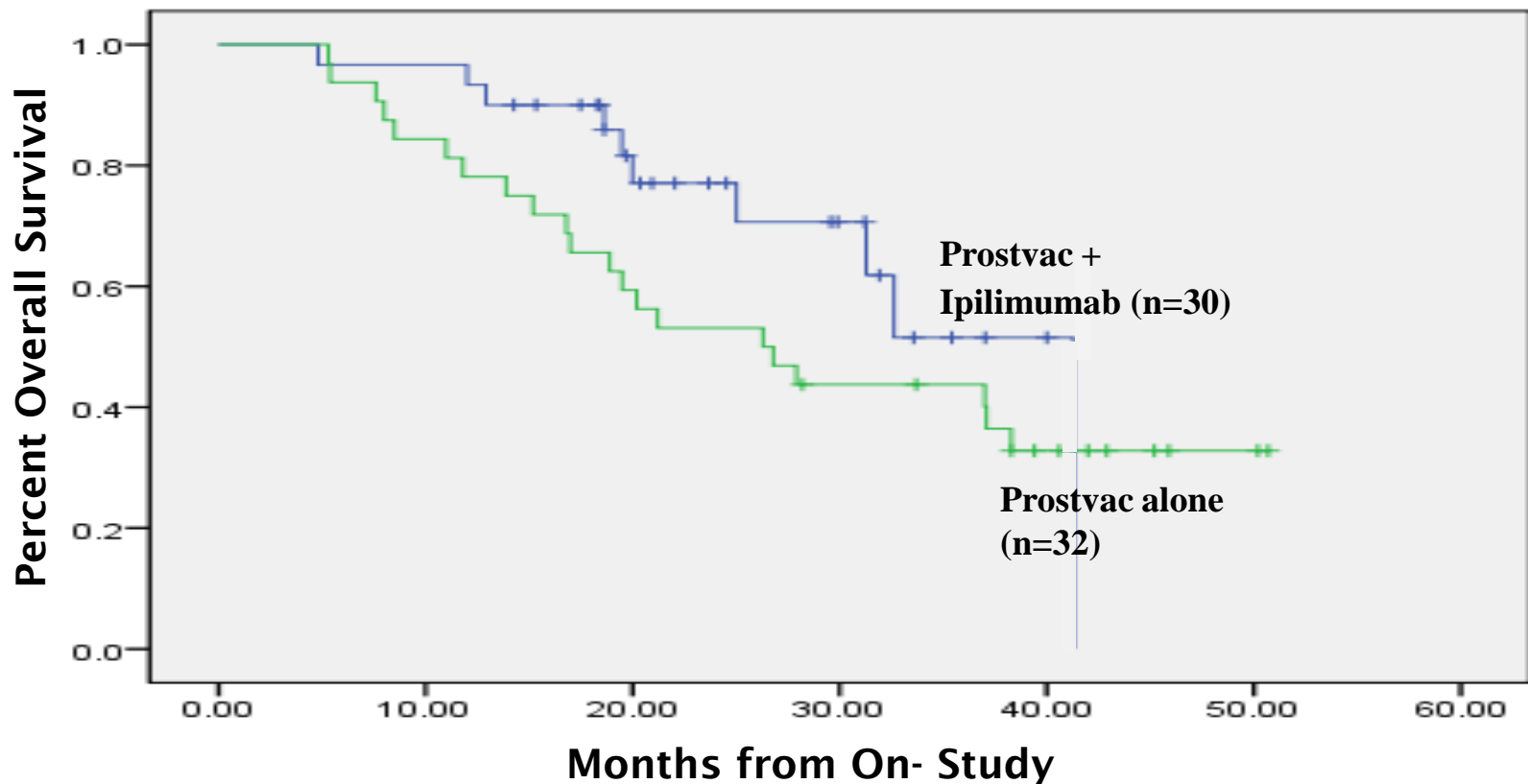
CTLA-4 antibody on Day 4,7 and Day 10

Hodge et al.

Vaccine + anti-CTLA-4

- Patient population: metastatic CRPC
- Design
 - Phase I
 - fixed dose vaccine
 - dose escalation of ipilimumab (1, 3, 5 and 10 mg/kg)
- Endpoints
 - 1° Safety
 - 2° Clinical responses, PSA kinetics, OS, Immune responses

Comparing OS of Prostvac Alone to Prostvac + Ipilimumab



This compares two studies done at the NCI with Prostvac in metastatic CRPC (Updated 9/21/2010)

Median Overall Survival

Halabi Predicted Survival

Δ OS

Alive at 24 mos

Prostvac alone

26.3 months

17.2 months

9.1 months

53%

*Prostvac
and Ipilimumab*

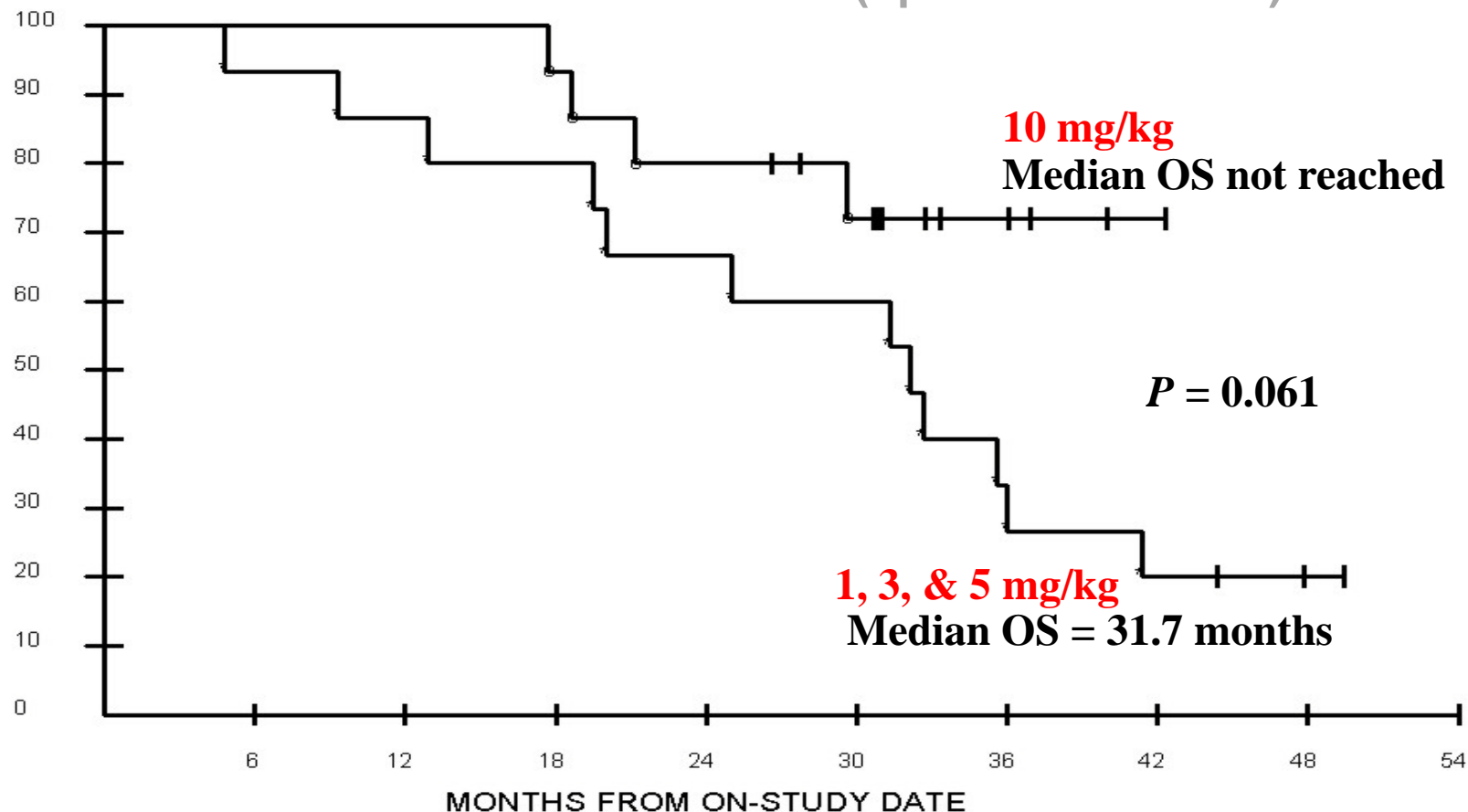
34.4 months

18.5 months

15.9 months

73.3%

Overall Survival for All Patients (updated 9/21/2010)



	<u>Median Overall Survival</u>	<u>Halabi Predicted Survival</u>	<u>Δ OS</u>	<u>Alive at 24 mos</u>
PSA-TRICOM <i>alone</i>	26.3 months	17.2 months	9.1 months	53%
PSA-TRICOM <i>and Ipilimumab</i>	34.4 months	18.5 months	15.9 months	73%

The Next Frontier: Vaccine Combination Therapies

**The use of cancer vaccines in combination
with conventional therapies**

- **Chemotherapy**
- **Hormone therapy**
- **Local radiotherapy of tumor**
- **Small molecule targeted therapeutics**

Vaccine Combination Therapies

1. Vaccines Induce Minimal Toxicity

– can act independently of concomitant therapy

2. Do NOT confuse

multiple therapies used prior to vaccine

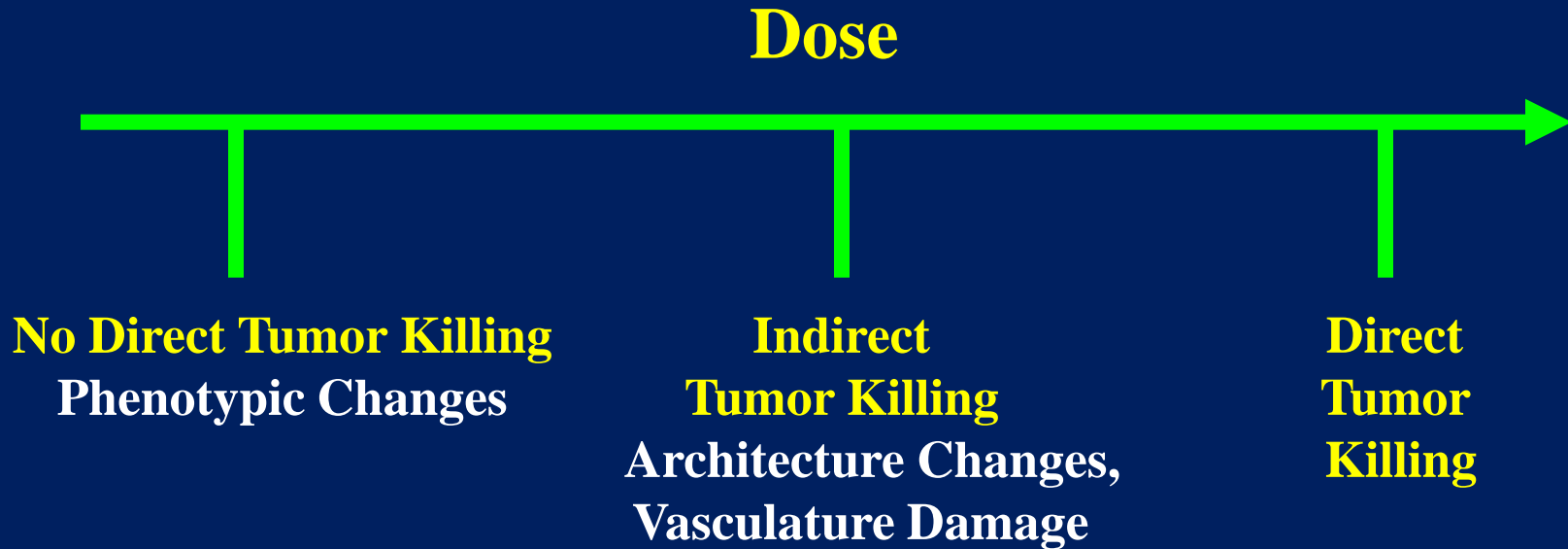
vs.

therapies used with vaccine or following vaccine

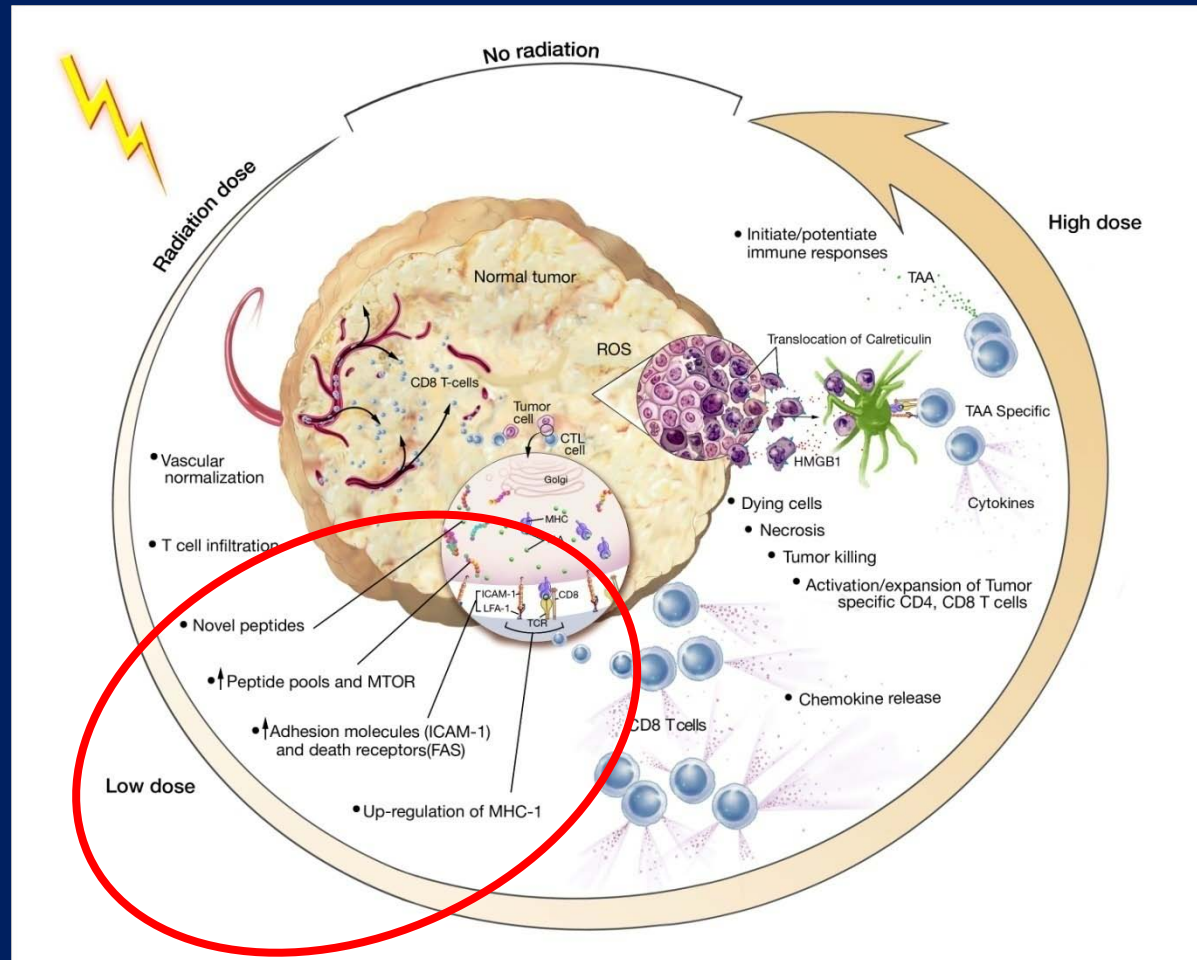
Mode of Action of Vaccine Combination Therapies

- Certain chemotherapeutics when given post-vaccine therapy will lyse populations of tumor cells acting as a boost for the initial vaccine therapy
- **Certain chemotherapeutic agents and/or radiation can alter the phenotype of tumor cells rendering them more susceptible to T-cell–mediated lysis**

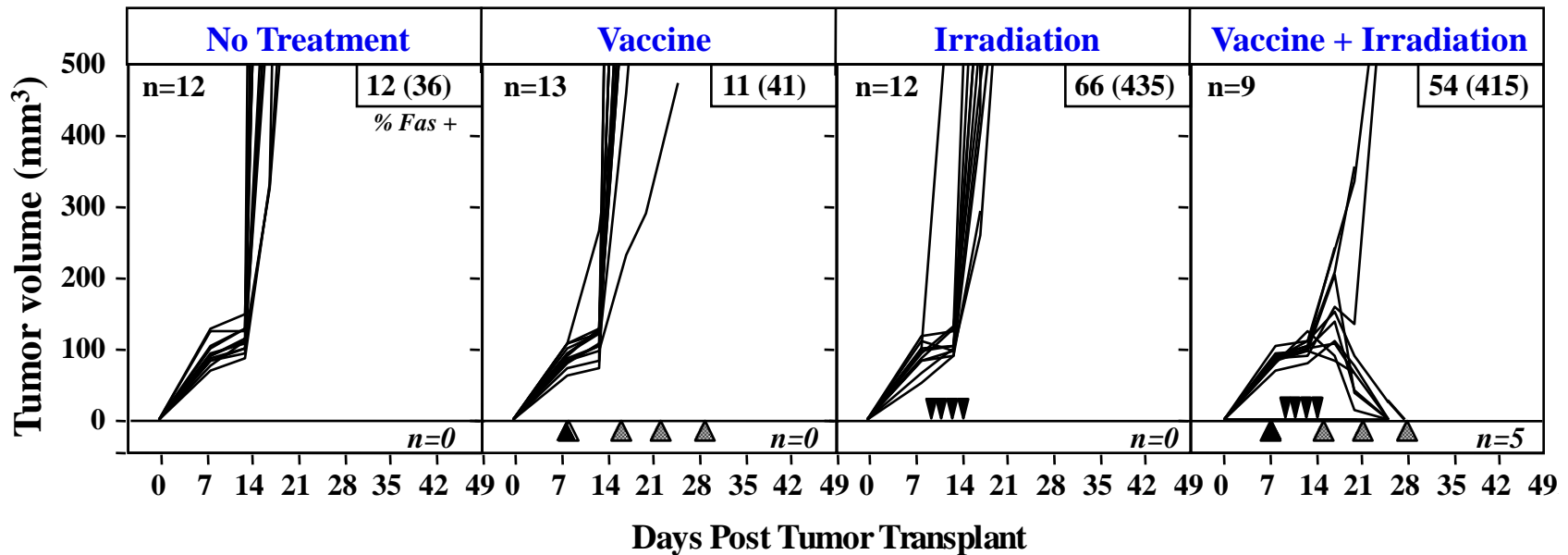
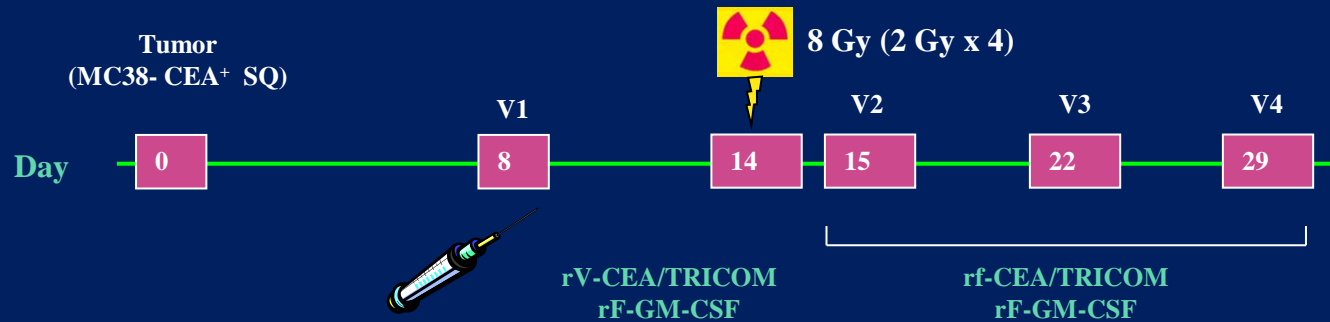
Potential Multiple Effects of Chemotherapy, Small Molecule Targeted Therapeutics, or Local Irradiation of Tumors



Potential Multiple Effects of Local Irradiation of Tumors



Combination Therapy: Vaccine + External Beam Radiation



QUADRAMET is a radioactive samarium (^{153}Sm)-chelate:

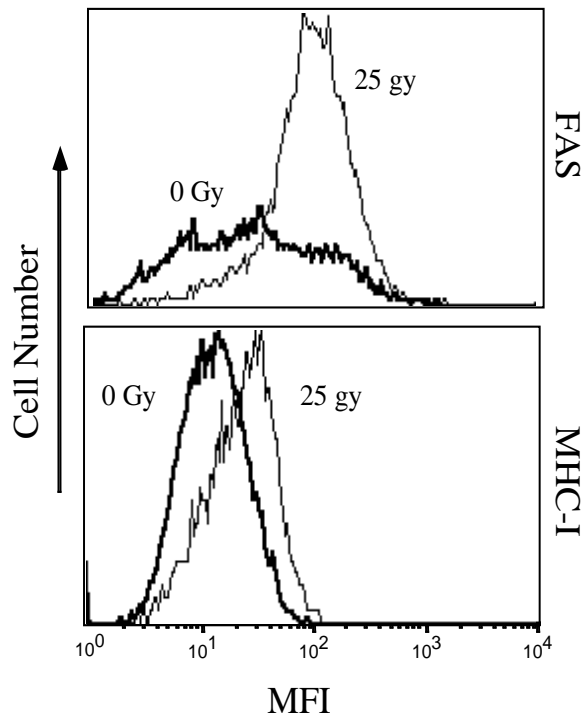
It preferentially binds to osteoblastic metastatic tumor deposits in bone.

^{153}Sm is FDA approved and clinically utilized for palliation of bone metastasis in multiple tumor histologies.



Treatment of LnCaP Prostate Cells with Palliative Levels of ^{153}Sm (Quadramet) Modulates Phenotype, Upregulates TAA, and Increases Sensitivity to Antigen-specific CTL Killing

Treatment of LnCaP prostate cancer cells with palliative doses of ^{153}Sm results in the upregulation of MHC class I and Fas

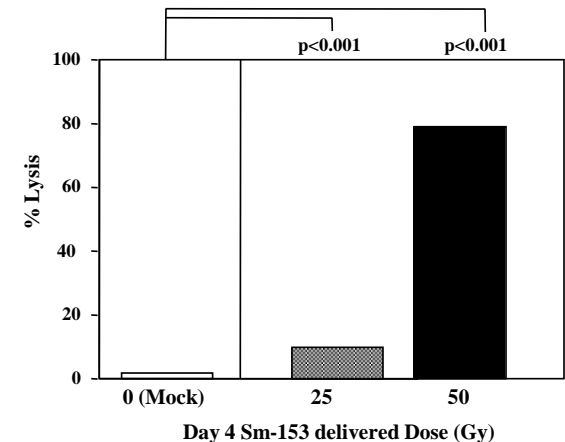


Treatment of LnCaP prostate cancer cells with palliative doses of ^{153}Sm results in the upregulation of TAAs

Tumor antigen genes

	0 Gy	25 Gy
PS A	1	2.79
PSM A	1	4.14
PAP	1	29.0
CE A	1	10.3
MUC -1	1	3.67

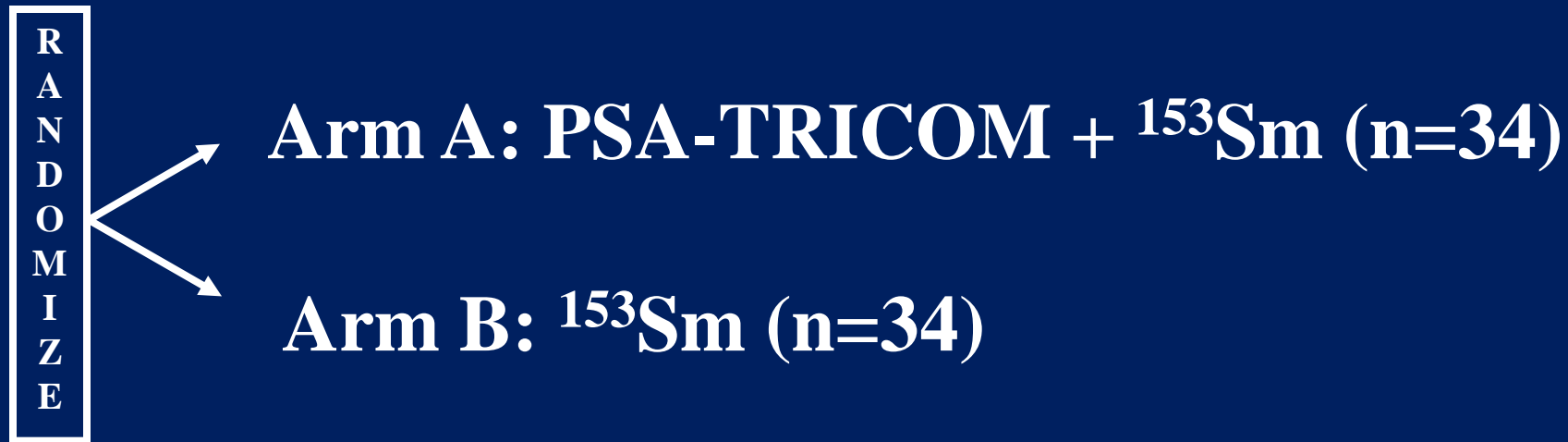
Treatment of LnCaP prostate cancer cells with palliative doses of ^{153}Sm results in increased sensitivity to multiple CTLs



Chakraborty, Wansley...Schlom, Hodge, NCI. Clin Cancer Res. 2008
Collaboration with Nuclear Medicine Branch

^{153}Sm +/- PSA-TRICOM

Patient Population: CRPC Metastatic to bone



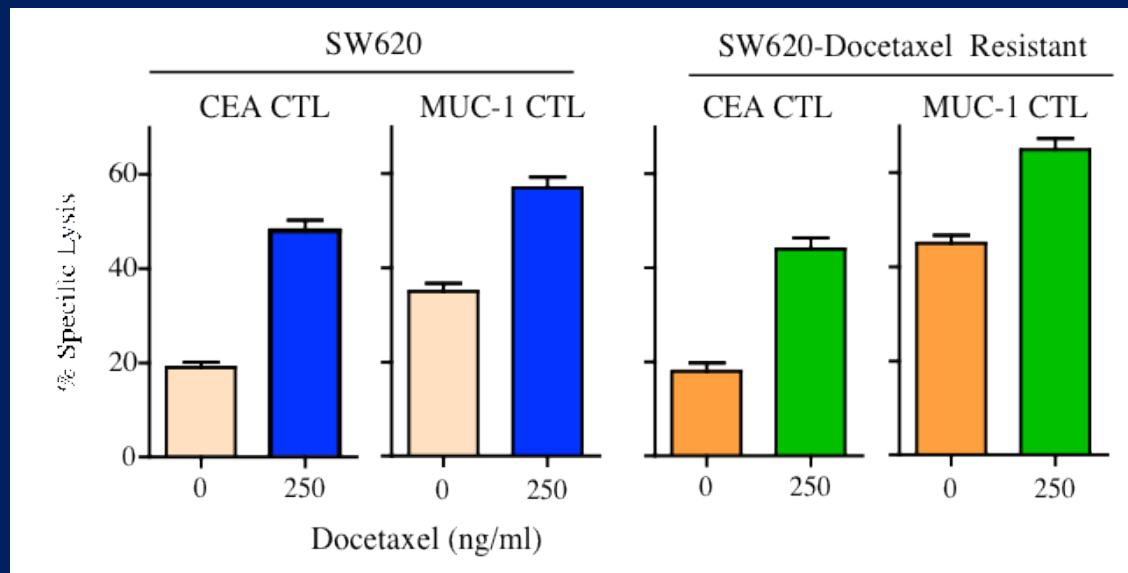
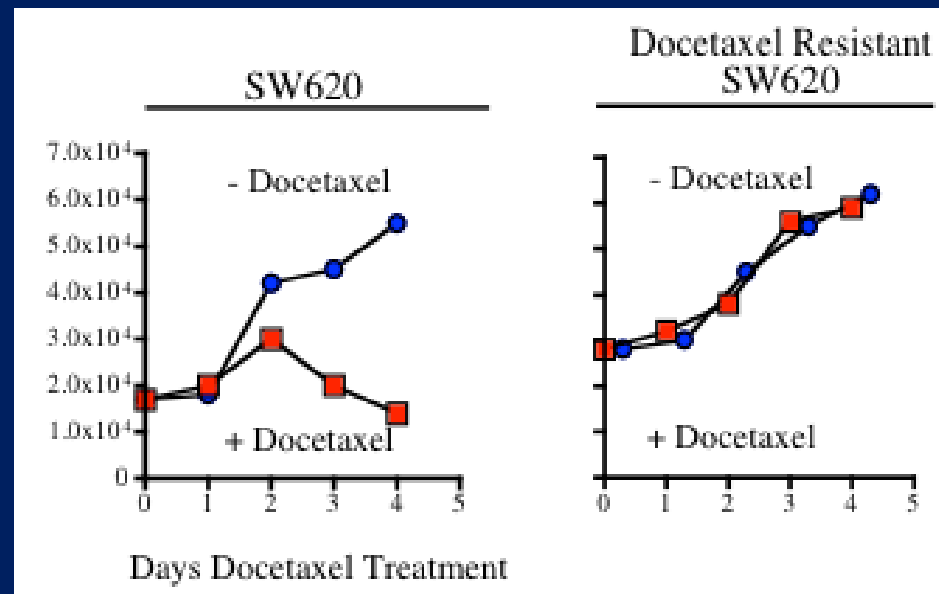
Vaccine: rV-PSA/TRICOM s.c. d 1
 rF-PSA/TRICOM s.c. d 15, 29, q 4 wks

^{153}Sm : 1 mCi/kg d 8, may be repeated
 q 12 wks upon hematologic recovery.

Mode of Action of Vaccine Combination Therapies

- **Evidence of non-coordinate lytic susceptibility of tumor cells**
 - **tumor cells have shown differential susceptibilities to killing by chemotherapy/ radiation vs. T cells**
- **Exploitation of the phenomenon of homeostatic proliferation of T cells post-chemotherapy**
 - **certain effector immune cell subsets can be expanded more rapidly vs. regulatory cells**

Human Carcinoma Cells Resistant to Chemotherapy Are Sensitive to CTL Killing After Treatment



TRICOM

TRIad of COstimulatory Molecules

<u>Costimulatory Molecule</u>	<u>Ligand on T cell</u>
B7-1 (CD80)	CD28/CTLA-4
ICAM-1 (CD54)	LFA-1
LFA-3 (CD58)	CD2

TRICOM = B7-1/ICAM-1/LFA-3

CEA/TRICOM = CEA/B7-1/ICAM-1/LFA-3

CEA/MUC-1/TRICOM = CEA/MUC-1/B7-1/ICAM-1/LFA-3 (PANVAC)

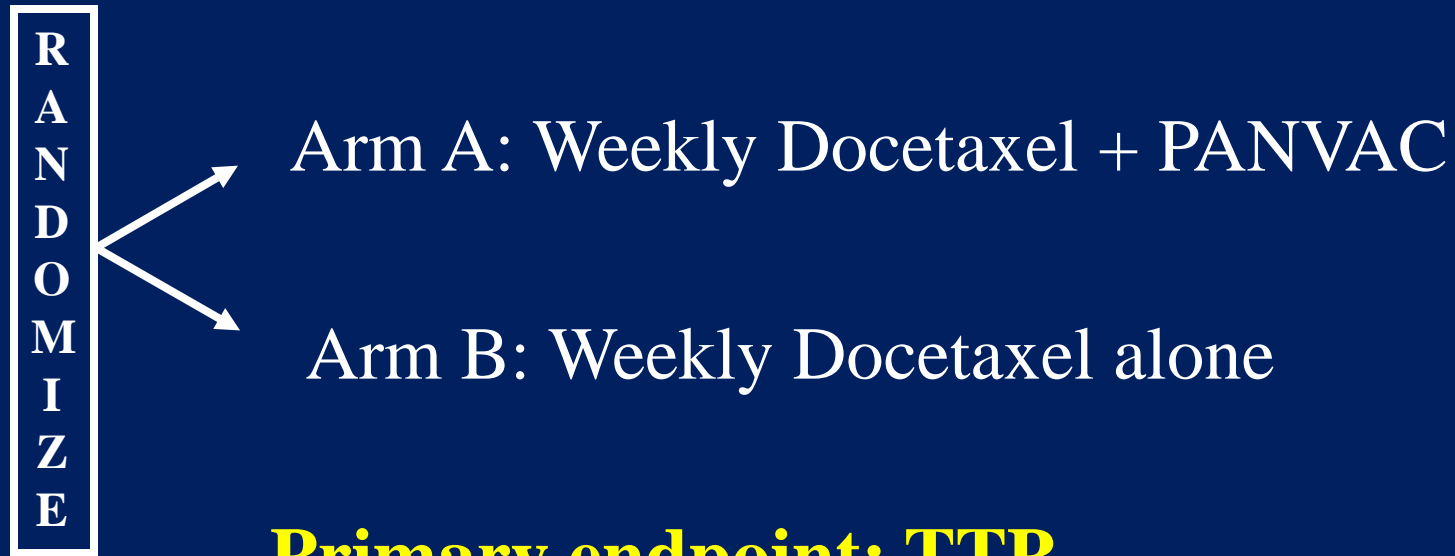
PSA/TRICOM = PSA/B7-1/ICAM-1/LFA-3 (PROSTVAC)

All vaccines contain: rV- as a prime vaccine
avipox (fowlpox, rF-) as multiple booster vaccines

CEA, MUC-1, and PSA transgenes all contain
enhancer agonist epitopes

Docetaxel +/- PANVAC

Patient Population: Metastatic Breast Cancer (Docetaxel Naïve) n=48

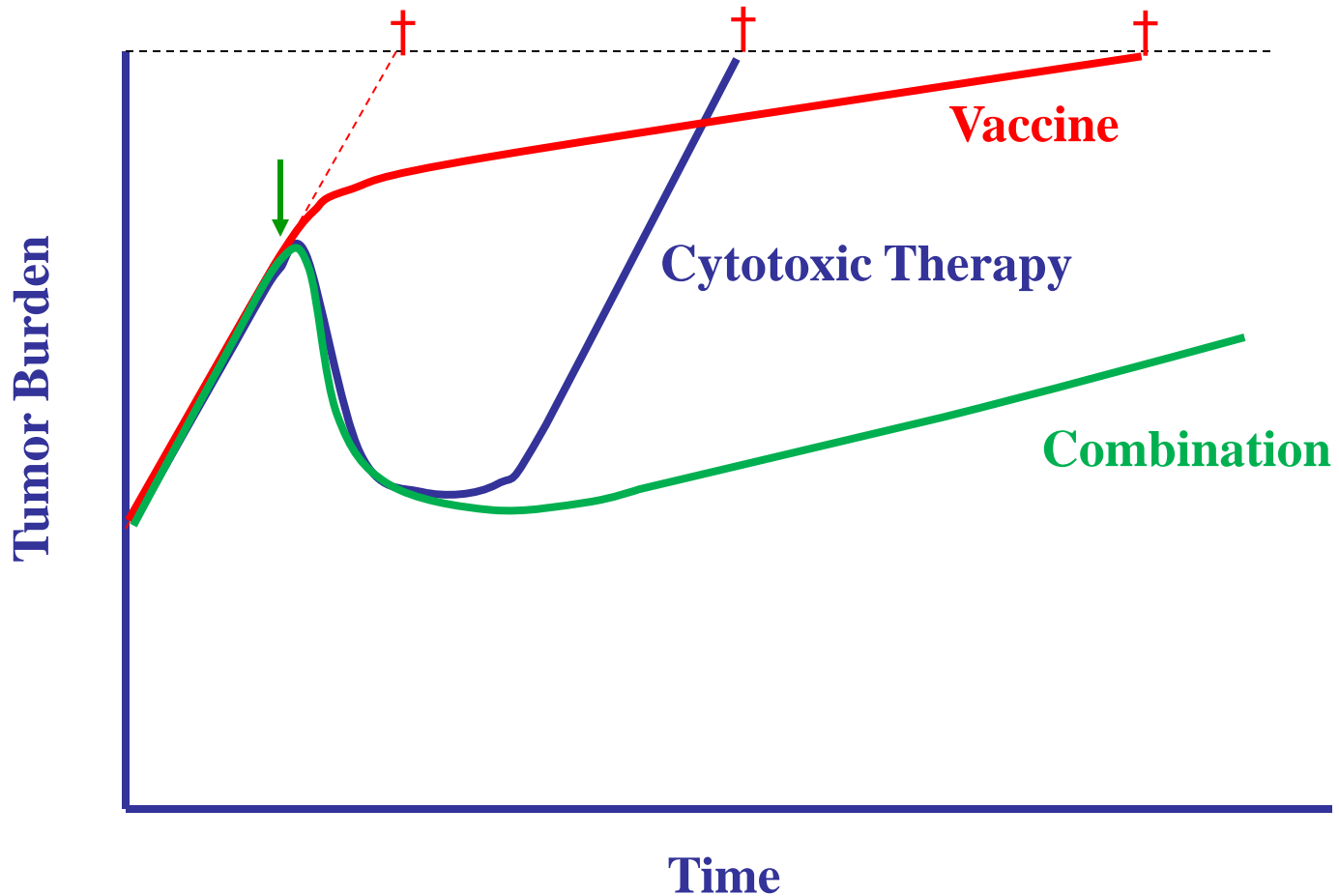


Primary endpoint: TTP

NCI 6977: PI, Gulley

Preclinical Data from Hodge et al.

Tumor Growth Rate

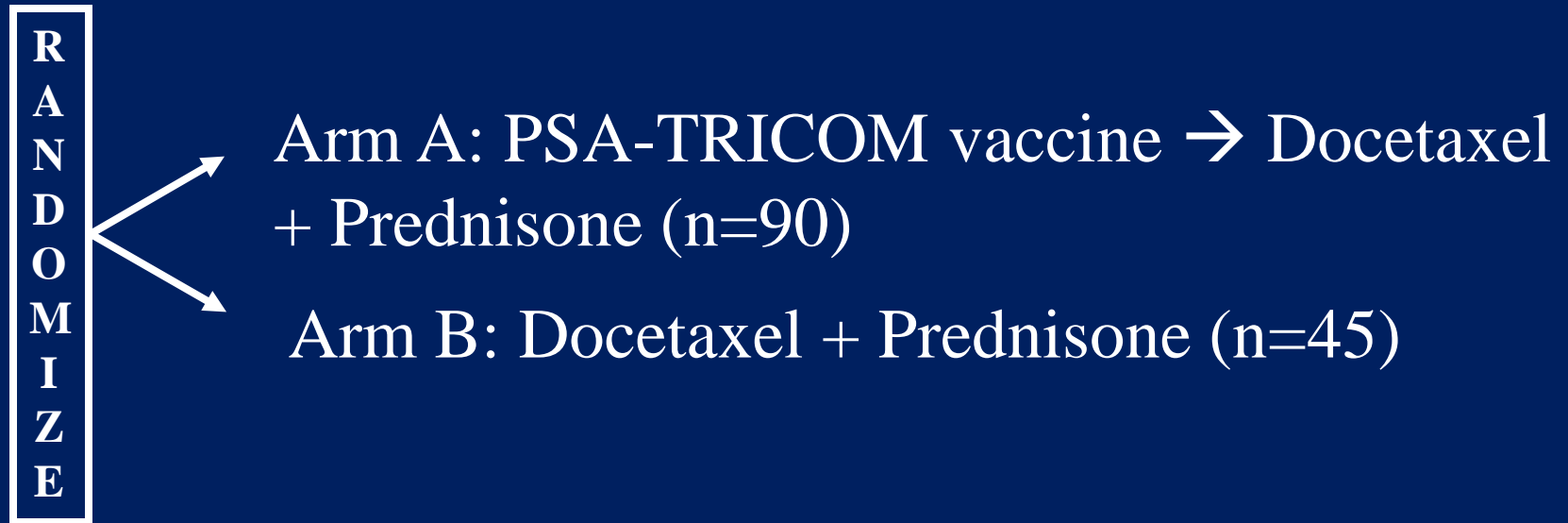


Unique Properties of Therapeutic Cancer Vaccines

- Minimal toxicity
- Effect on the host immune system
 - indirect effect on the tumor
 - anti-tumor effects may be delayed
- Overall survival vs RECIST or time to progression as the appropriate primary endpoint
- Induction of host immunity is a dynamic process that can persist post-vaccination
- Potential for an enhanced effect on concomitant or subsequent therapies

Chemotherapy vs. Vaccine Followed by Chemotherapy (ECOG Multicenter Trial)

Patient Population: Metastatic CRPC (Halabi Predicted Survival ≥ 18 months)



Phase II (n=135)

Primary endpoint: OS

Protocol Chair: Doug McNeel

Co-Chair: Gulley