

Navy University Affiliated Research Center (UARC) Office (SEA 00U)

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Navy-Sponsored UARCs



















Navy UARC Office Requirements and Duties

On behalf of USD(R&E) and ASN(RD&A), Navy UARC Office plans and executes DoD-designated Primary Sponsor responsibilities for managing the Navy-sponsored UARCs in the following areas:

- Strategically steward the relationship between DoD, DON and each Navy-sponsored UARC
- Conduct a DoD-required comprehensive review as the basis for awarding/renewing each Navysponsored UARC's contract - approximately every five years
- Award and manage a long-term, non-competitive contract to each Navy-sponsored UARC (5-year base contract with 5-year option)
- Review and approve all tasking and funding to the Navy-sponsored UARCs to ensure consistency with their mission and core competencies
- Assess and, as necessary, recommend changes to each Navy-sponsored UARC's DoD-approved core competencies for concurrence by ASN(RD&A) and approval by USD(R&E)
- Coordinate and represent Navy UARC community interests across DoD, Federal, and industry domains
- Ensure each Navy-sponsored UARC complies with applicable laws, regulations, policies, and guidance
- Oversee each Navy-sponsored UARC's physical, information, cyber, and personnel security compliance and posture

SEA 00U Receives Essential Support from other NAVSEA Directorates (e.g., Comptroller, Contracts, Security, Scientific and Technical Intelligence Liaison Office, Special Security Officer, Senior Intelligence Officer, Office of Counsel)



Navy UARC Office Stewardship Functions

Technical Management

- Validate and certify UARC core competencies
- Engage Government technical authorities to resolve specific issues related to UARC tasking
- Interface w/ Government Chief Technology Officers for technical coordination & information exchange
- Interface with DoD, DON and other Federal Agency task sponsors for arbitration and interpretation of technical policy related to UARCs
- Research engineering policy agreements as relates to UARCs
- Review Navy-sponsored UARC Independent Research and Development (IARD) programs
- Ensure compliance with special "external" requirements
 - Human Subjects Research
 - International Trafficking in Arms Regulations Export Controls
 - > Radiological Materials

Program Management

Technical Programs

- Authorize task sponsors to use Navy-sponsored UARC contracts
- Project Technical Evaluations
- Project Planning & Milestones
- o Intellectual Property Oversight
- DASN(RDT&E) Primary Sponsor Interface & Oversight
- DoD, DON, and other federal agency tasking sponsor interface
- Navy International Programs Office Interface

UARC Corporate

- OUSD(R&E) Interface & Policy Oversight
- Stewardship of DoD UARC Management Plan
- o Stewardship of Navy UARC policy
- Stewardship & execution of Navysponsored UARC Comprehensive Review process
- Navy representative to University Laboratory governance boards
- Navy representative to University Laboratory business executive boards
- Resolution / Mitigation of Conflicts of Interest
- Naval Criminal Investigative Service Liaison
- Federal Bureau of Investigation & other Law Enforcement Liaison

Financial Management

- Naval Sea Systems Command Comptroller liaison
- Business and financial management functions
- DoD, DON and other federal agency task sponsor financial interface
- o Budget planning & execution
- Business systems mechanics, policies and procedures

IT & Network Management

- Liaison with USCYBERCOM and other associated agencies
- o CCRI Government Sponsor
- Sponsor classified networks at Navy UARCs
- Sponsor of Computer Network
 Defense Services
- Removable Media Representative for Navy-sponsored UARCs
- Policy for UARC classified/CUI information systems & information assurance
- $\circ \ \text{NSS Tokens Oversight}$

Contracts Administration

- Navy-sponsored UARC contracts management & life-cycle planning
- Navy-sponsored UARC contract task order processing & oversight
- o Task Order Legal liaison
- o DoD sponsor interface
- Real property / facilities management and oversight
- Contracting Officer's Representative for Navysponsored UARCs
- o Interface with NAVSEA Contracts
- Contract close-out

Facility / Contract Security

- Common Access Card Trusted Agent
- Government Classified Material Sponsor
- Interface with contract classification & facility clearance authorities
- Certification agent for Navysponsored UARC overhead staff clearance & visit actions
- Oversight of Navy-sponsored UARC security procedures & IT risks
- o DSS Sponsor Interface
- o NCIS Local Agent Liaison
- UARC STILO interface



Importance of a University Affiliated Research Center to the Primary Sponsor

Navy-sponsored UARCs:

- Provide and maintain DoD essential engineering, research, and development capabilities defined as core competencies in mission areas related to Undersea, Sea, Air and Space
- Focus on Applied Research and Engineering to sustain core competencies in areas critical to task sponsor missions
- Serve as Long-Term Strategic Partners to task sponsors within DoD, DON and other Federal Agencies
- Serve as Trusted Agents to their task sponsors
- Serve as a bridge to transition new technologies to industry through DoD, DON and other Federal Agency programs
- Maintain continuous reach-back to host universities for academic expertise and developing technologies

Navy-Sponsored UARCs Serve as Long-Term Strategic Partners & Trusted Agents to DoD, DON and Other Federal Agencies



Relationship of Navy-Sponsored UARCs to the Department of the Navy and the Department of Defense

- Characteristics of the strategic relationship between the Navy-sponsored UARCs and DoD, DON and other Federal Agency task sponsors:
 - > Responsiveness to evolving sponsors' requirements
 - Comprehensive knowledge of sponsors' requirements and problems
 - Broad access to information, including proprietary data
 - Broad Navy/DoD corporate knowledge and technical memory
 - > Independence and objectivity from commercial interests
 - Quick response capability
 - > Current operational experience
 - > Freedom from real and/or perceived conflicts of interest