

# Biomarkers and clinical trials in neurodegenerative diseases

Alice S. Chen-Plotkin, MD  
Assistant Professor of Neurology  
March 3, 2016



# Outline

---

Experience in Alzheimer's Disease

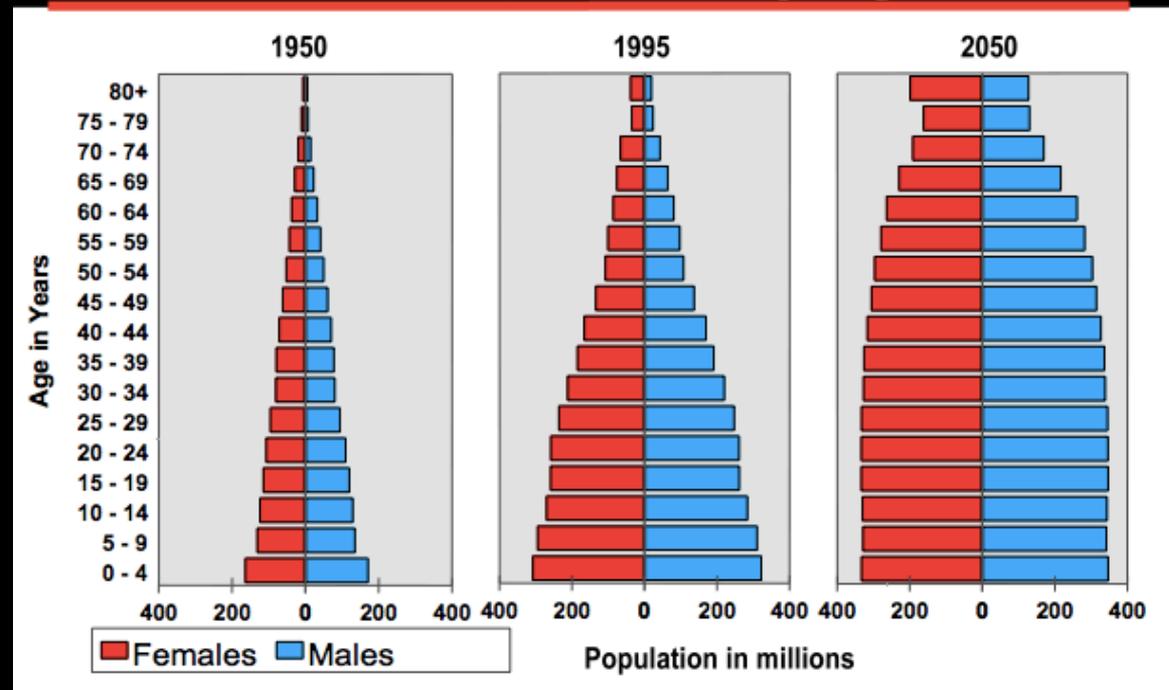
On the ground in Parkinson's Disease

Any lessons learned?

# Alzheimer's Disease and Parkinson's Disease

5 million in US with AD now  
1 million in US with PD now

## World Population by Age



# The Alzheimer's Disease Neuroimaging Initiative (ADNI)

**ADNI**  
ALZHEIMER'S DISEASE NEUROIMAGING INITIATIVE

**ADNI Home**

- Overview
- Sponsors and Partners
- In the News
- Steering Committee Meetings
- Publications
- Contact Us

## Alzheimer's Disease Neuroimaging Initiative

**Welcome from the ADNI Principal Investigator**

Alzheimer's disease (AD) affects almost 50% of those over the age of 85 and is the sixth leading cause of death in the US. Since 2005, the longitudinal Alzheimer's Disease...

Launched in 2004.

Goal was to develop imaging and biochemical biomarkers for earlier detection of AD.  
use in clinical trials.

Set precedent for open data sharing in the neurodegenerative disease space.

# The Alzheimer's Disease Neuroimaging Initiative (ADNI)

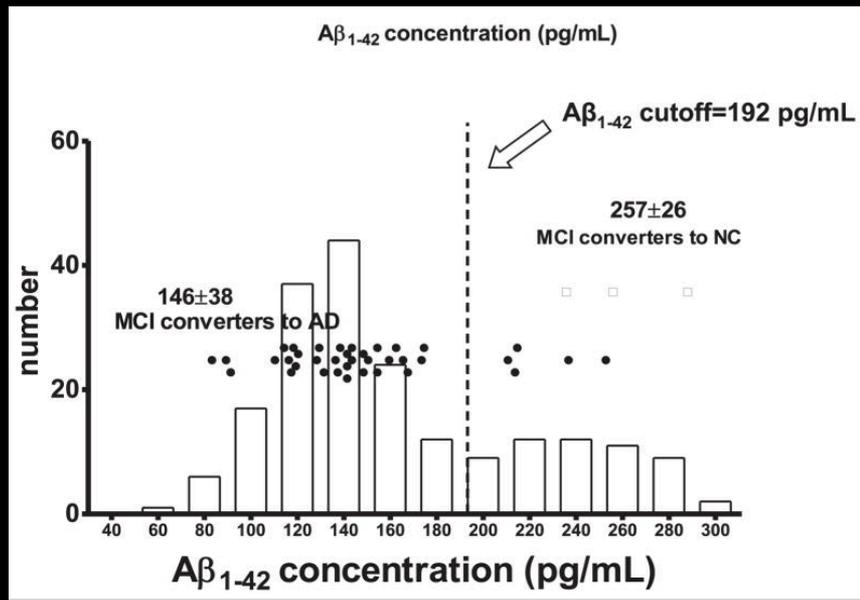


Original cohort = 200 normal controls, 200 AD, 400 amnesic MCI.  
\$67M from public/private sources (NIH, pharma, foundation).  
Longitudinally followed.

Additional 550 enrolled participants with ADNI GO + ADNI 2, focusing on earliest phases of AD process.

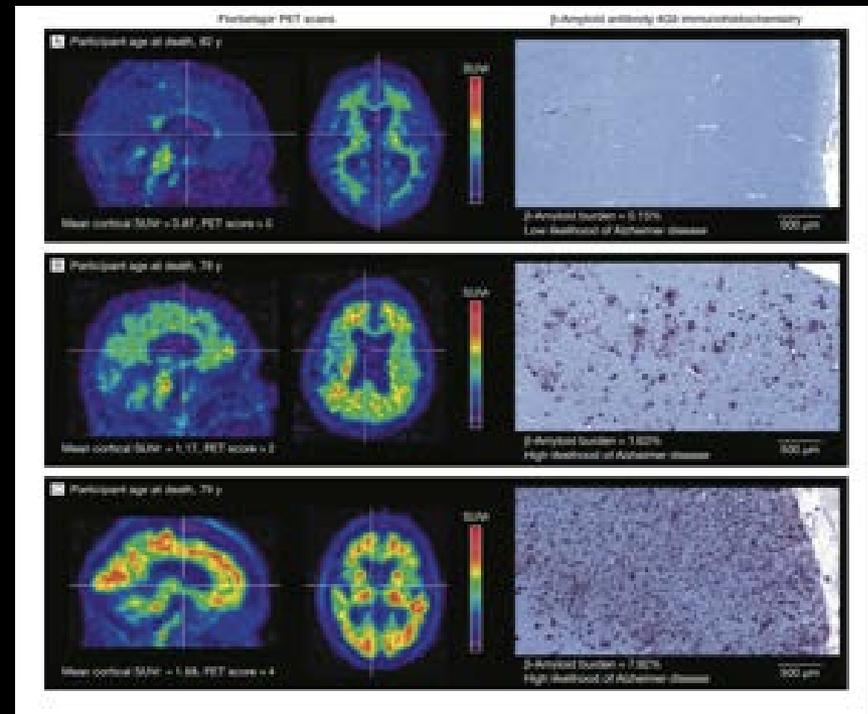
# What was learned?

CSF biochemical biomarkers might discriminate who would convert to AD.



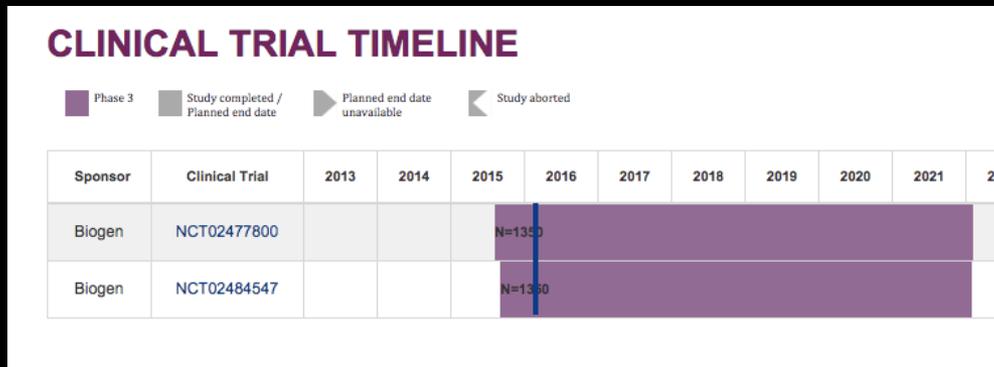
Shaw et al, Ann Neurol 2009

Specific PET ligands might detect amyloid pathology in vivo.



Clark et al, JAMA, 2011

# What does this mean for AD trials?



NIH National Institute on Aging  
Turning Discovery Into Health

Home Health and Aging Research and Funding Newsroom

Home

ALZHEIMER'S DISEASE EDUCATION AND REFERRAL CENTER

Home About Alzheimer's Find Help Research

### The A4 Study

Anti-Amyloid in Asymptomatic Alzheimer's Disease Study



Current Phase 3 AD trials (amyloid immunotherapy) are using **amyloid imaging as entry criteria** and enrolling MCI individuals, as well as asymptomatic people. Plans for new study to use CSF biochemical biomarkers as entry criteria.

# What does this mean for AD trials?

## A rethinking of diagnostic criteria...



ELSEVIER

Alzheimer's & Dementia 7 (2011) 280–292

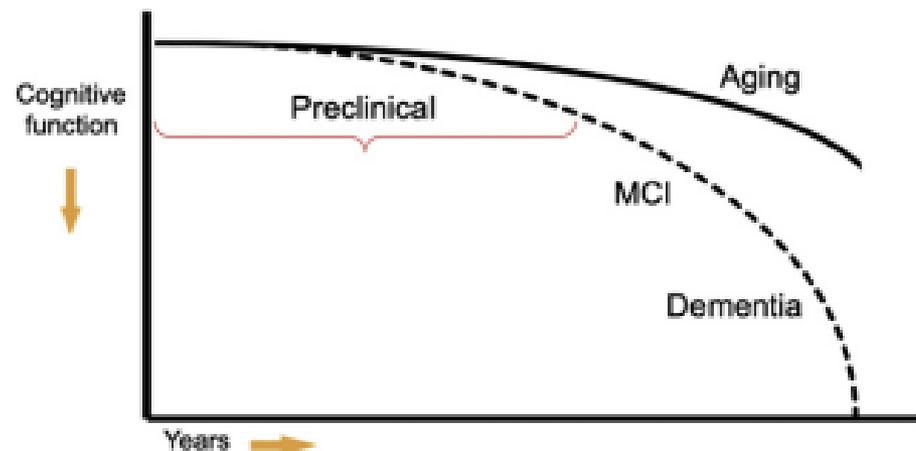
Alzheimer's  
&  
Dementia

Toward defining the preclinical stages of Alzheimer's disease:

Recommendations from the National Institute on  
Association workgroups on diagnostic criteria  
for Alzheimer's disease

Reisa A. Sperling<sup>a,\*</sup>, Paul S. Aisen<sup>b</sup>, Laurel A. Beckett<sup>c</sup>, David J.  
Anne M. Fagan<sup>f</sup>, Takeshi Iwatsubo<sup>g</sup>, Clifford R. Jack, Jr.<sup>h</sup>, Jeffrey  
Denise C. Park<sup>k</sup>, Eric M. Reiman<sup>i</sup>, Christopher C. Rowe<sup>m</sup>, Eric  
Kristine Yaffe<sup>p</sup>, Maria C. Carrillo<sup>q</sup>, Bill Thies<sup>q</sup>, Marcelle Morrison-  
Creighton H. Phelps<sup>r</sup>

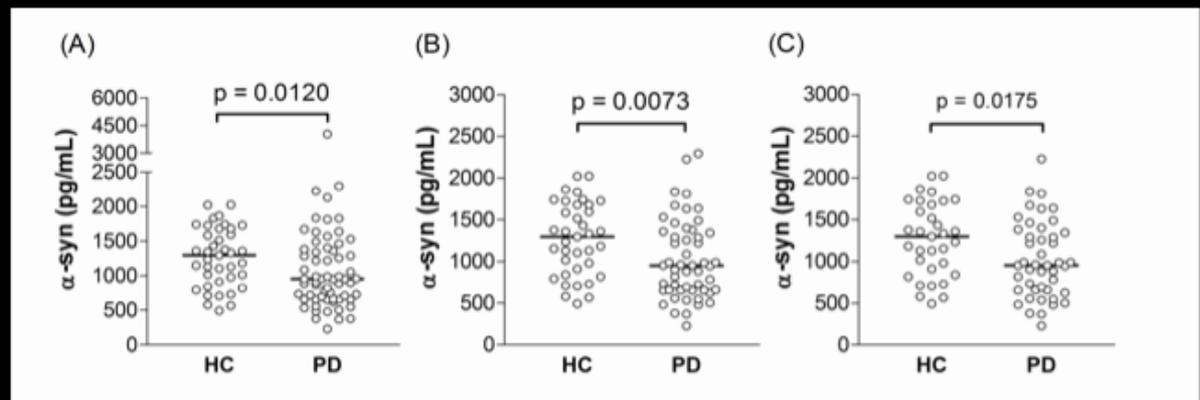
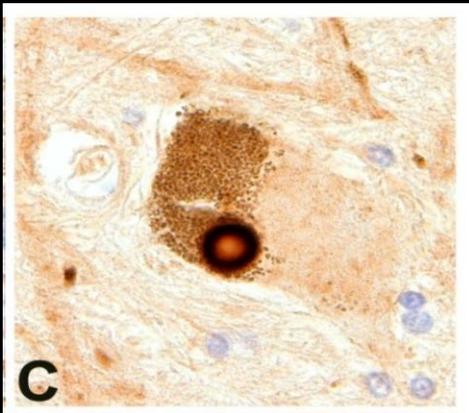
The continuum of Alzheimer's disease



# On the ground in PD

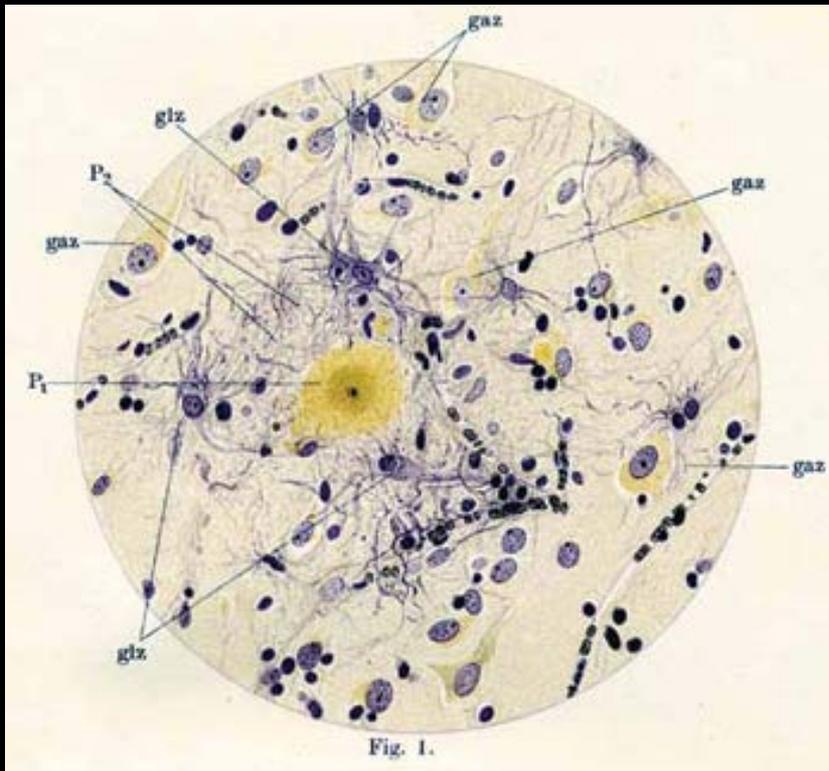
The candidate approach to biomarker development has not worked as well in PD.

- No specific imaging ligand.



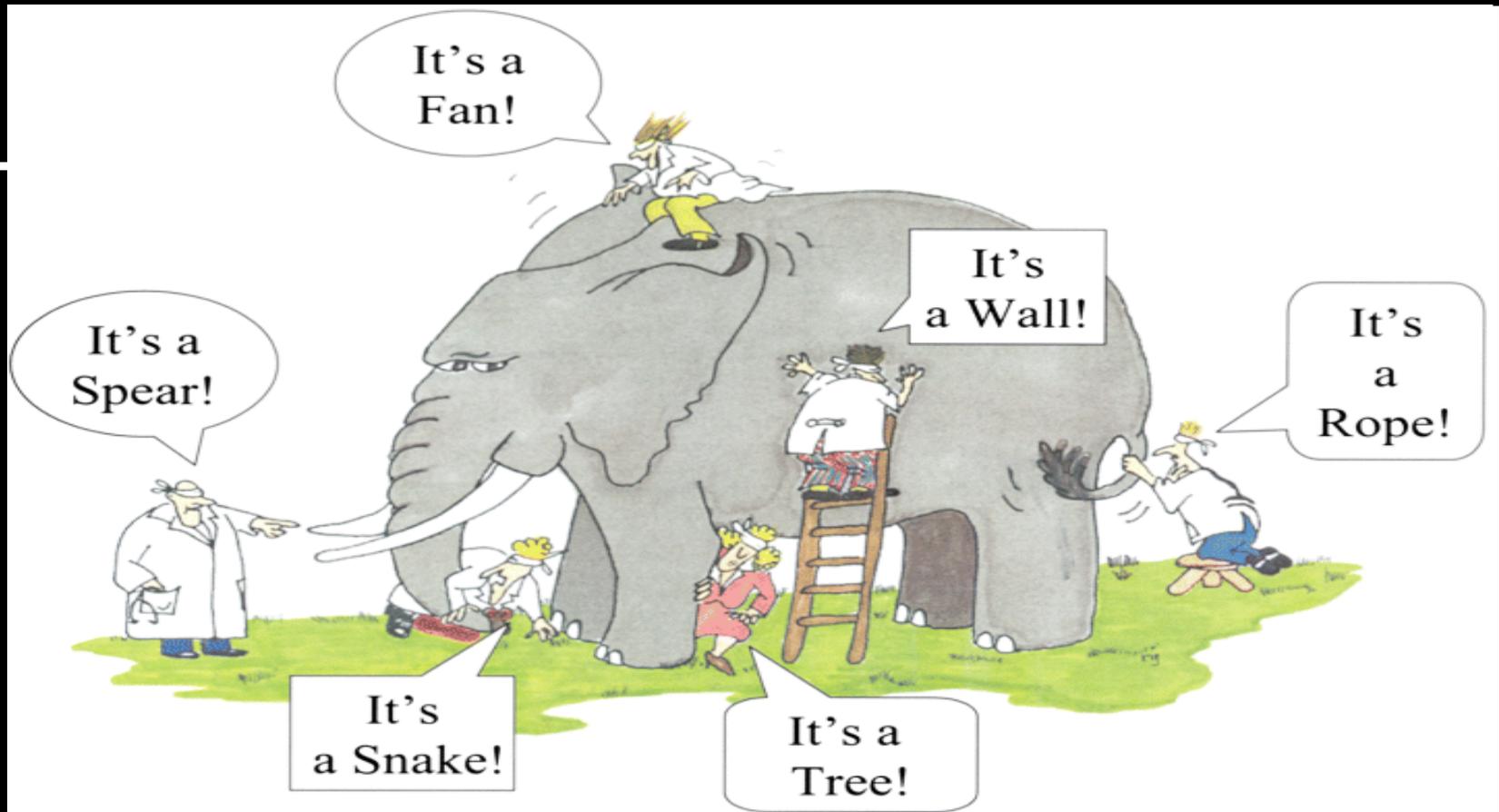
Modified from Kang *et al.*, *JAMA Neurology*, 2013; 70(10):1277-87.

# The traditional approach: Specific genes and proteins



Decide *a priori* what genes or proteins are likely to lead to central processes in a disease  
→ pursue experiments based on them.

Sometimes you're right...



...but sometimes you're wrong.

# Another approach: Assay gene/protein expression, genetic variation at a genomic/proteomic scale



Made possible by recent technologies.

Whole picture may be a little fuzzier, but:

1. You're getting a whole picture.
2. You're not biased...and may make unexpected discoveries.

CellPress

Neuron  
**Perspective**

## Unbiased Approaches to Biomarker Discovery in Neurodegenerative Diseases

Alice S. Chen-Plotkin<sup>1,\*</sup>

<sup>1</sup>Department of Neurology, Perelman School of Medicine at the University of Pennsylvania, 3 West Gates, 3400 Spruce Street, Philadelphia, PA 19104, USA

\*Correspondence: [chenplot@mail.med.upenn.edu](mailto:chenplot@mail.med.upenn.edu)  
<http://dx.doi.org/10.1016/j.neuron.2014.10.031>

# On the ground in PD

Community is organizing around a pipeline for

discovery  
replication  
further development

of novel biomarkers.



**TRANSLATION**

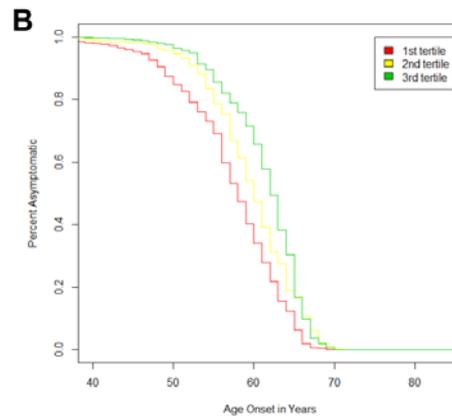
# Our experience with PPMI samples: Plasma ApoA1 as a correlate of PD severity.

We showed in 2013 (Qiang et al, Ann Neurol, 2013) that higher plasma levels of ApoA1 were correlated with:  
(1) older age at PD onset, and  
(2) less severe PD.

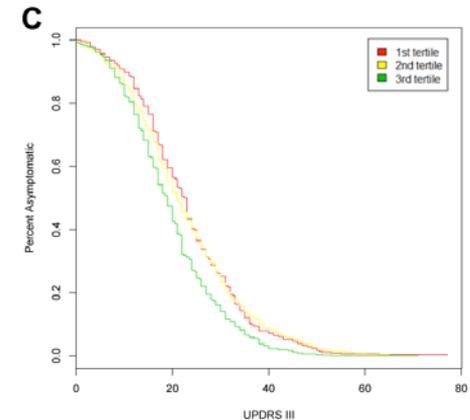
This relationship replicated beautifully in >1000 people from around the world, including **154 PD patients from PPMI** (Swanson et al, Mov Disord, 2015).

**A**

Cohort	N	Yrs of PD	Measurement	Age at Onset			UPDRS III		
				Beta	SE	P	Beta	SE	P
UPenn Cohort 1	152	7	Luminex Assay	11.22	3.37	<b>0.001</b>	-21.98	7.12	<b>0.003</b>
U. Washington	187	9.45	ELISA	2.72	0.79	<b>&lt;0.001</b>	-3.75	1.38	<b>0.008</b>
UPenn Cohort 2	288	7	ELISA	48.11	24.23	<b>0.048</b>	14.54	16.66	0.385
Columbia U.	237	6	Immunoturbidimetry	2.39	1.61	0.139	-3.39	2.41	0.161
PPMI	154	1	Immunoturbidimetry	0.41	0.18	<b>0.023</b>	-3.54	2.01	0.080
<b>COMBINED</b>	<b>1018</b>	-	-	<b>0.86</b>		<b>&lt;0.001</b>	<b>-1.57</b>		<b>&lt;0.001</b>



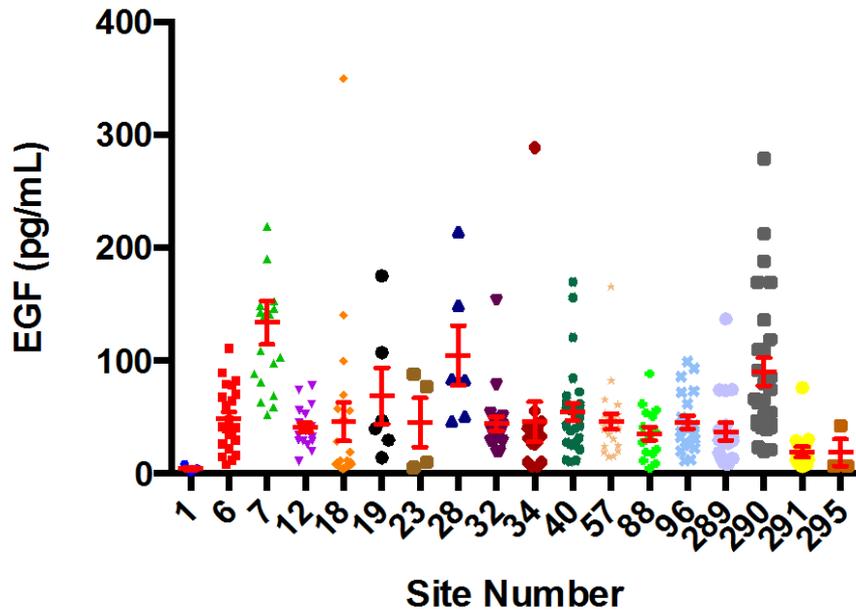
<b>Hazard Ratio</b>	<b>0.86</b>
95% CI	0.79-0.94
P-value	<b>0.0004</b>



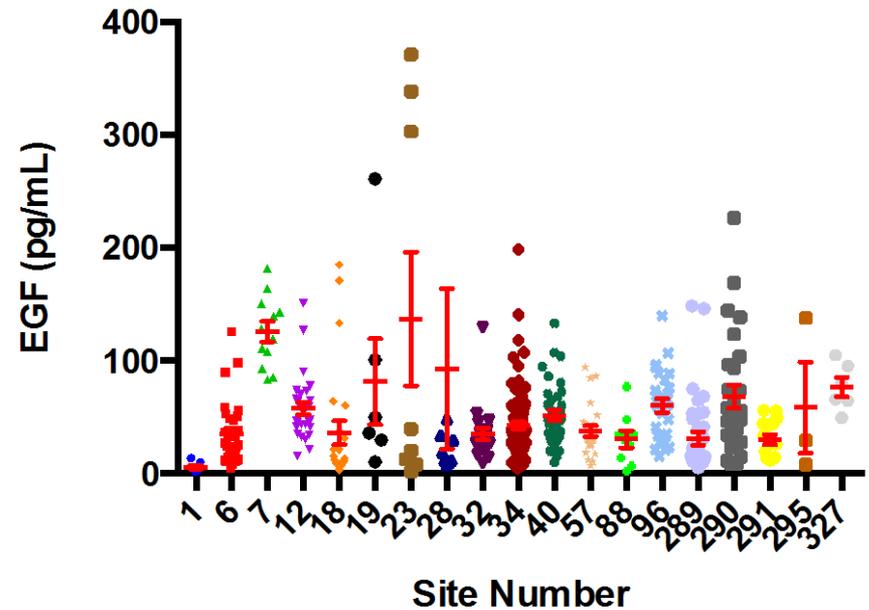
<b>Hazard Ratio</b>	<b>0.85</b>
95% CI	0.77-0.92
P-value	<b>0.0004</b>

# Our experience with PPMI samples: EGF as a correlate of clinical site.

### EGF by Site HC



### EGF by Site PD



# Summary

In AD, a candidate biomarker approach produced imaging (PET ligand specific for beta-amyloid) and CSF biochemical biomarkers (beta-amyloid and tau).

In use now for clinical trial enrollment.

In PD, candidate approaches have not worked as well. We may need an unbiased approach for biomarker discovery.

Permissive to the development of biomarkers:

- Biorepositories with strict protocols for sample collection.

- Data sharing (including databases).

- Partnerships (academia, industry, foundation, government).

# Acknowledgements

## Current members

Defne Amado  
Johanna Busch  
Rakshita Charan  
Ryan Cherng  
Fortunay Diatta  
Mike Gallagher  
Nimansha Jain  
Ben Liu  
Jordan Mak  
Pierce Nathanson  
Tyler Skrinak  
Travis Unger



## Past members

Katherine Li  
Judy Qiang  
Christine Swanson

## University of Pennsylvania

**John Trojanowski**  
Virginia Lee  
Howard Hurtig  
Matt Stern  
Dan Weintraub  
Sharon Xie  
Leslie Shaw  
Vivianna Van Deerlin

## PPMI

**Ken Marek**  
Mark Frasier  
Katie Kopil

## PARS

Ken Marek  
Danna Jennings  
Susan Mendick

## University of Washington

Cyrus Zabetian  
Dora Yearout  
James Leverenz  
Tom Montine

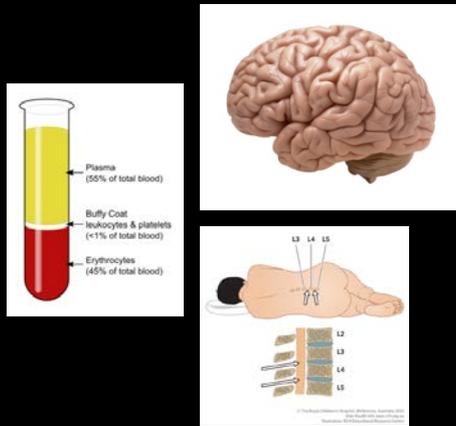
## Columbia University

Roy Alcalay  
Oren Levy  
Cheryl Waters  
Stanley Fahn  
Adina Wise

## Funding

Doris Duke Charitable Foundation CSDA  
NIH-NINDS: UO1-NS082134, RO1-  
NS082265, P50 NS053488  
Burroughs Wellcome Fund CAMS  
Benaroya Fund, BAND  
(MJFF/AA/Weston)

# Aspects of biochemical biomarker discovery studies



Multiple potential things to measure



Multiple potential ways to measure them

Collection protocol matters A LOT

**Proteins**  
Lipids  
Others  
(RNA)

**Targeted:** usually immunoassay-based

**Unbiased:**  
(1) Multiplex immunoassays  
(2) Mass spect  
(3) Others (like aptamers)

# What was learned?

